

Chapter I

Foundations of Quadratic Forms

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1 Quadratic Forms and Quadratic Spaces

In this course we assume all fields F have $\text{char}(F) \neq 2$.

Definition 1.1. *Let F be a field.*

1. A **quadratic form** over F is a homogeneous polynomial

$$f(X_1, X_2, \dots, X_n) = \sum_{i,j=1}^n \alpha_{ij} X_i X_j \quad \alpha_{ij} \in F.$$

This is a form in n variables and may also be called an n -ary quadratic form.

With $a_{ij} = \frac{\alpha_{ij} + \alpha_{ji}}{2}$ we have

$$f = \sum_{i,j=1}^n a_{ij} X_i X_j = \begin{pmatrix} X_1 & X_2 & \cdots & X_n \end{pmatrix} \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \cdots & \cdots & \cdots & \cdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{pmatrix} \begin{pmatrix} X_1 \\ X_2 \\ \cdots \\ X_n \end{pmatrix}$$

$$= X^t M_f X \quad \text{where} \quad X = \begin{pmatrix} X_1 \\ X_2 \\ \dots \\ X_n \end{pmatrix}, \quad M_f = (a_{ij}) \quad \text{is symmetric.}$$

2. This association

$$f \longleftrightarrow M_f \text{ establishes a bijection}$$

between the set of all quadratic forms over F and the set of all symmetric $n \times n$ matrices.

3. Suppose f, g are two n -ary quadratic forms over F . We say f is equivalent to g (write $f \simeq g$), if there is a **change of variables**

$$\begin{pmatrix} Y_1 \\ Y_2 \\ \cdot \\ \cdot \\ Y_n \end{pmatrix} = C \begin{pmatrix} X_1 \\ X_2 \\ \cdot \\ \cdot \\ X_n \end{pmatrix} \quad \text{with} \quad C \in GL_n(F)$$

such that $f(X) = g(CX) = g(Y)$. In the matrix form it means,

$$f \simeq g \iff M_f = C^t M_g C$$

4. This relation \simeq is an equivalence relation.

5. Example: We have

$$f = X_1^2 - X_2^2 \simeq g = X_1 X_2.$$

Proof. We do the change of variables:

$$X_1 \mapsto X_1 + X_2, \quad X_2 \mapsto X_1 - X_2 \quad \text{or} \quad \begin{pmatrix} Y_1 \\ Y_2 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} X_1 \\ X_2 \end{pmatrix}.$$

6. Consider the vector space F^n and denote the standard basis by e_1, e_2, \dots, e_n . Given a quadratic form f , define

$$Q_f : F^n \longrightarrow F \quad \text{by} \quad Q_f \left(\sum_{i=1}^n x_i e_i \right) = x^t M_f x \quad \text{where} \quad x = \begin{pmatrix} x_1 \\ x_2 \\ \dots \\ x_n \end{pmatrix}.$$

This map Q_f is called the **quadratic map** of f .

Lemma 1.2. Assume $\text{char}(F) \neq 2$ (as always).

$$Q_f = Q_g \iff f = g.$$

Proof. Write $M_f = (a_{ij})$, $M_g = (b_{ij})$. Suppose $Q_f = Q_g$. Then

$$a_{ii} = Q_f(e_i) = Q_g(e_i) = b_{ii}, \quad \text{and}$$

$$\forall i \neq j \quad Q_f(e_i + e_j) = (1, 1) \begin{pmatrix} a_{ii} & a_{ij} \\ a_{ij} & a_{jj} \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = a_{ii} + a_{jj} + 2a_{ij}$$

which is

$$= Q_g(e_i + e_j) = b_{ii} + b_{jj} + 2b_{ij}. \quad \text{Hence} \quad a_{ij} = b_{ij} \quad \text{and} \quad f = g.$$

There other way is obvious. The proof is complete. ■

Lemma 1.3. Let f be a quadratic form. Then the quadratic map has the following properties:

1. Q_f is "quadratic" in the following sense:

$$Q_f(ax) = a^2 Q_f(x) \quad \forall x \in F^n.$$

2. Define (polarize)

$$B_f : F^n \times F^n \longrightarrow F \quad \text{by} \quad B_f(x, y) = \frac{Q_f(x + y) - Q_f(x) - Q_f(y)}{2} \quad \forall x, y \in F^n.$$

Then, B_f is a **symmetric and bilinear pairing**, meaning

(a) It is symmetric: $B_f(x, y) = B_f(y, x)$ for all $x, y \in F^n$.

(b) It is bilinear:

$$B_f(ax_1 + bx_1, y) = aB_f(x_1, y) + bB_f(x_2, y)$$

$$\text{and} \quad B_f(x, cy_1 + dy_2) = cB_f(x, y_1) + dB_f(x, y_2).$$

Equivalently:

$$y \mapsto B_f(*, y) \quad \text{is linear transformation from} \quad F^n \longrightarrow \text{Hom}(F^n, F).$$

3. We have ("depolarization")

$$Q_f(x) = B_f(x, x) \quad \forall x \in F^n.$$

Proof. (1) is obvious. Clearly, $B(x, y) = B(y, x)$ for all $x, y \in F^n$. Now

$$B(x, y) = \frac{(x + y)^t M_f (x + y) - x^t M_f x - y^t M_f y}{2} = x^t M_f y.$$

Rest follows. The proof is complete. ■

Remark. Four items f, M_f, Q_f, B_f are retrievable from each other.

1.1 Coordinate free Approach

Definition 1.4. Let V be a finite dimensional vector space over a field F .

1. A map $B : V \times V \rightarrow F$ is called a **symmetric bilinear pairing**, if

(a) $B(x, y) = B(y, x) \quad \forall x, y \in V,$

(b) For any fixed $x \in V$ the map

$$B(x, *) : V \rightarrow F \quad \text{is linear.}$$

Equivalently:

$$y \mapsto B(*, y) \quad \text{is linear transformation from } F^n \rightarrow \text{Hom}(F^n, F).$$

2. A **quadratic space** is an ordered pair (V, B) where V is as above and B is a symmetric bilinear pairing.

3. Associated to a quadratic space (V, B) we define a **quadratic map**

$$q = q_b : V \rightarrow F \quad \text{by } q(x) = B(x, x) \quad \forall x \in V.$$

We have the following properties:

(a) $q(ax) = a^2q(x)$ for all $x \in V,$

(b)

$$2B(x, y) = q(x + y) - q(x) - q(y) \quad \forall x, y \in V.$$

Therefore, q and B determine each other. So, we say (V, q) represents the quadratic space (V, B) .

4. Given a basis e_1, \dots, e_n of V , there is a quadratic form

$$f(X_1, \dots, X_n) = \sum_{i,j=1}^n B(e_i, e_j) X_i X_j. \quad \text{So, } M_f = (B(e_i, e_j)).$$

Lemma 1.5. Suppose e'_1, \dots, e'_n is another basis of V and f' be the corresponding quadratic form

$$f'(X_1, \dots, X_n) = \sum_{i,j=1}^n B(e'_i, e'_j) X_i X_j.$$

Then

$$M_{f'} = C^t M_f C \quad \text{where} \quad \begin{pmatrix} e'_1 \\ e'_2 \\ \dots \\ e'_n \end{pmatrix} = C \begin{pmatrix} e_1 \\ e_2 \\ \dots \\ e_n \end{pmatrix}$$

In particular,

$$f \simeq f' \quad \text{determines an equivalence class of quadratic form } (f_B).$$

Proof. We have

$$\begin{aligned} X^t(B(e'_i e'_j))X &= X^t \left(B \left(\sum_{k=1}^n c_{ki} e_k, \sum_{l=1}^n c_{jl} e_l \right) \right) X \\ &= X^t \left(\sum_{k,l=1}^n c_{ki} B(e_k, e_l) c_{lk} \right) X = X^t C^t (B(e_k, e_l)) C X \end{aligned}$$

The proof is complete. ■

Definition 1.6. Suppose $(V, B), (V', B')$ are two quadratic spaces. We say they are *isometric* (\simeq), if there is a linear isomorphism

$$\tau : V \xrightarrow{\sim} V' \quad \ni \quad B(x, y) = B'(\tau(x), \tau(y)) \quad \forall \quad x, y \in V.$$

1. Isometry is an equivalence relation.
2. It follows,

$$(V, B) \simeq (V', B') \quad \iff \quad (f_B) \simeq (f_{B'}).$$

3. So, the association $[(V, B)] \mapsto [f_B]$ establishes an **1 – 1 correspondence** between the isometry classes of n -dimensional quadratic spaces and (to) the equivalence classes of n -ary quadratic forms.

Suppose (V, B) is a quadratic space (*I said some of the following before*).

1. **Notation:** We denote $V^* := \text{Hom}_F(V, F)$.
2. For any fixed x then map $B(x, *) : V \rightarrow F$ is linear,

$$\text{That means } B(x, *), B(*, y) \in V^* \quad \forall \quad x, y \in V.$$

3. Further, the map

$$V \rightarrow V^* \quad \text{sending } y \mapsto B(*, y) \quad \text{is a linear map.}$$

Proposition 1.7. Suppose (V, B) is a quadratic space and $\{e_1, \dots, e_n\}$ is a basis of V . Let $M = (B(e_i, e_j))$ be the associated symmetric matrix. Then the following are equivalent:

1. M is a nonsingular matrix.
2. The map

$$V \rightarrow V^* \quad \text{given by } y \mapsto B(*, y) \quad \text{is an isomorphism.}$$

3. For $x \in V$,

$$B(x, y) = 0 \quad \forall y \in V \quad \implies x = 0.$$

Proof. Since $\dim V = n < \infty$, we have (2) \iff (3). Suppose M is nonsingular. Fix $x \in V$ and assume $B(x, y) = 0 \quad \forall y \in V$. In particular, $B(x, e_j) = 0$ for all j . Write $x = \sum_{i=1}^n x_i e_i$. So,

$$\forall j \quad 0 = B(x, e_j) = \sum_{i=1}^n x_i B(e_i, e_j). \quad \text{So,} \quad M \begin{pmatrix} x_1 \\ x_2 \\ \dots \\ x_n \end{pmatrix} = \mathbf{0}.$$

So, $x_i = 0$ and hence $x = 0$. So, (3) is established. Now assume (3).

$$\text{Assume } M \begin{pmatrix} x_1 \\ x_2 \\ \dots \\ x_n \end{pmatrix} = \mathbf{0}. \quad \text{We prove } \begin{pmatrix} x_1 \\ x_2 \\ \dots \\ x_n \end{pmatrix} = \mathbf{0}.$$

Write $x = \sum_{i=1}^n x_i e_i$. We have

$$\begin{pmatrix} B(x, e_1) \\ B(x, e_2) \\ \dots \\ B(x, e_n) \end{pmatrix} = M \begin{pmatrix} x_1 \\ x_2 \\ \dots \\ x_n \end{pmatrix} = \mathbf{0}.$$

So,

$$\forall y = \sum_{j=1}^n y_j e_j \in V \quad \text{we have } B(x, y) = \sum_{j=1}^n y_j B(x, e_j) = 0.$$

By (3), $x = 0$. The proof is complete. ■

Definition 1.8. Suppose (V, B) is a quadratic space, satisfying (1.7), then we say (V, B) is said to be **regular** or **nonsingular** and $q_B : V \rightarrow F$ is said to be **regular** or **nonsingular** quadratic map.

The vector space $\{0\}$ is also considered a regular quadratic space.

1.2 Sub-Quadratic Spaces

Definition 1.9. Suppose (V, B) is a quadratic space and S be a subspace.

1. Then $(S, B|_{S \times S})$ is a quadratic space.
2. The **orthogonal complement** of S is defined as

$$S^\perp = \{x \in V : B(x, S) = 0\}$$

3. The **radical** of (V, B) is defined to be $rad(V) = V^\perp$. So,

$$(V, B) \text{ is regular} \iff rad(V) = 0.$$

Proposition 1.10. Suppose (V, B) is a regular quadratic space and S be subspace of V . Then,

1. (Dimension formula) We have

$$\dim S + \dim S^\perp = \dim V.$$

2. $(S^\perp)^\perp = S$.

Proof. Consider the linear isomorphism

$$\varphi : V \xrightarrow{\sim} V^* \quad \varphi(x) = B(*, x).$$

We have an exact sequence

$$0 \longrightarrow \varphi(S^\perp) \longrightarrow V^* \xrightarrow{\eta} S^* \longrightarrow 0 \quad \text{where } \eta \text{ is the restriction.}$$

So,

$$\dim \varphi(S^\perp) + \dim S^* = \dim V^* \quad \text{or} \quad \dim S^\perp + \dim S = \dim V.$$

Apply (1) twice

$$\dim(S^\perp)^\perp = \dim V - \dim S^\perp = \dim V - (\dim V - \dim S) = \dim S.$$

Since $S \subseteq (S^\perp)^\perp$ we have $S = (S^\perp)^\perp$. So, (2) is established. The proof is complete. ■

2 Diagonalization

\dot{F} will denote the units of F .

Definition 2.1. Suppose f is a quadratic form over F and $d \in \dot{F}$. We say f *represents* d if $f(x_1, \dots, x_n) = d$ for some $(x_1, \dots, x_n) \in F^n$. We denote

$$D(f) = \{d \in \dot{F} : f \text{ represents } d\}.$$

Similarly, suppose (V, B) is a quadratic space, we say V **represents** d if $q_B(v) = d$ for some $v \in V$. We denote

$$D(f) = \{d \in \dot{F} : V \text{ represents } d\}.$$

1. Suppose $a, d \in \dot{F}$. Then,

$$d \in D(f) \iff a^2 d \in D(f), \quad \text{because } f(ax) = a^2 f(x).$$

2. So, $D(f)$ consists of union of (some) cosets of \dot{F}^2 .

3. The group $\frac{\dot{F}}{\dot{F}^2}$ is called the group of square classes.

4. Also,

$$d \in D(f) \iff d^{-1} \in D(f); \quad \text{because } d = d^2(d^{-1}).$$

5. In general, $D(f)$ may not be a group and 1 need not be in $D(f)$.

Example: Consider $f = X_1^2 + X_2^2 + X_3^2$ over \mathbb{Q} . Then $1, 2, 14 \in D(f)$.
But $7 = 14/2 \notin D(f)$, which is known.

6. If $D(f)$ is closed under multiplication, then $1 \in D(f)$.

Example: Consider $f_r = \sum_{i=1}^r X_i^2$, over any field F . For $r = 1, 2, 3, 8$ we have $D(f_r)$ are groups.

Definition 2.2. Let $(V_1, B_1), (V_2, B_2)$ be two quadratic spaces over F . The **orthogonal sum** of $(V_1, B_1), (V_2, B_2)$ is defined as

$$V_1 \perp V_2 := (V, B) \quad \text{where} \quad V = V_1 \oplus V_2$$

B is defined on $V \times V$ as follows:

$$B(x_1+x_2, y_1+y_2) = B_1(x_1, y_1) + B_2(x_2, y_2) \quad \text{where} \quad x_1, y_1 \in V_1; x_2, y_2 \in V_2.$$

1. Clearly, $B(V_1, V_2) = 0$.

2. Also,

$$q_B(x_1 + x_2) = q_{B_1}(x_1) + q_{B_2}(x_2) \quad \text{where} \quad x_i \in V_i.$$

3. We also write $q_B = q_{B_1} \perp q_{B_2}$.

4. Example: Let $q_1(X, Y) = X^2 + XY, q_2(X, Y, Z) = XZ + YX$. Then,

$$q_1 \perp q_2(X, Y, U, V, W) = X^2 + XY + UW + VU$$

(Note, we switch between the bilinear pairing B and the form q_B . However, we need to view $q_B : V \rightarrow V^*$.)

Definition 2.3. For $d \in F$ define $\langle d \rangle$ to be the one dimensional quadratic space, corresponding to the quadratic form

$$q(X) = dX^2 \quad \text{So,} \quad 2B(X, X) = q(X + X) - q(X) - q(X) = 2dX^2$$

The Representation Criteria:

Theorem 2.4. Let (V, B) be a quadratic space and $d \in \dot{F}$. Then,

$$d \in D(V) \iff V \cong \langle d \rangle \perp (V', B') \quad \text{for some quadratic space} \quad (V', B').$$

Proof. Suppose $V \cong \langle d \rangle \perp (V', B')$. Then, $q_V(e \oplus 0) = d$, where e is the basis of $\langle d \rangle$.

Conversely, Let $d \in D(V)$. Then, $q(v) = d$ for some $v \in V$. Recall $rad(V) = V^\perp = \{y \in V : B(V, y) = 0\}$. There is a subspace W $V = V^\perp \oplus W$. It follows, $V = V^\perp \perp W$. Also, $D(V) = D(W)$ and $W^\perp = 0$. So, we assume V is regular, by replacing V by W .

Now, Fv is isometric to $\langle d \rangle$. And $Fv^\perp \cap Fv = 0$. Since $\dim Fv + \dim Fv^\perp$, we have $V = Fv \oplus Fv^\perp$. It follows $V \cong Fv \perp Fv^\perp$. The proof is complete. ■

Corollary 2.5 (2.4). *Let (V, B) be a quadratic space. Then,*

$$V \cong \langle d_1 \rangle \perp \langle d_2 \rangle \perp \cdots \perp \langle d_n \rangle \quad \text{where } d_i \in \dot{F}.$$

Proof. Follows by induction. ■

Notation: $\langle d_1, d_2, \dots, d_n \rangle := \langle d_1 \rangle \perp \langle d_2 \rangle \perp \cdots \perp \langle d_n \rangle$. Also,

$$n\langle d \rangle := \langle d \rangle \perp \langle d \rangle \perp \cdots \perp \langle d \rangle$$

the orthogonal sum of n copies of $\langle d \rangle$.

Corollary 2.6 (2.5). *Suppose (V, B) is a quadratic space and S is a regular subspace. Then*

1. $V = S \perp S^\perp$
2. If T is a subspace of V and $V = S \perp T$ then $T = S^\perp$.

Proof. (2) follows from (1) because $T \subseteq S^\perp$ and $\dim T = \dim S^\perp$.

Since S is regular, $0 = rad(S) = \{v \in S : B(v, S) = 0\}$. So, $S \cap S^\perp = 0$. So, we show $V = S + S^\perp$. By (2.5), S has an orthogonal basis e_1, \dots, e_p . Again, by regularity (or the decomposition) $B(e_i, e_i) \neq 0$. Now for $z \in V$ write

$$y = z - \sum_{i=1}^p \frac{B(z, e_i)}{B(e_i, e_i)} e_i.$$

Then, $B(y, e_k) = 0$ and hence $y \in S^\perp$. So,

$$z = \sum_{i=1}^p \frac{B(z, e_i)}{B(e_i, e_i)} e_i + y \in S + S^\perp.$$

The proof is complete. ■

Corollary 2.7 (2.6). *Suppose (V, B) is a regular quadratic space and S is a subspace. Then, S is regular if and only if $V = S \perp T$ for some subspace T of V .*

Proof. One way follows from (2.6). Suppose $V = S \perp T$. Then,

$$\forall v \in S, \quad v \in \text{rad}(S) \implies v \in \text{rad}(V) \implies v = 0.$$

So, S is regular. The proof is complete. ■

2.1 Determinant

Definition 2.8. *Suppose f is a nonsingular quadratic form. We define **determinant** of f as*

$$d(f) := \det(M_f) \dot{F}^2 \in \frac{\dot{F}}{\dot{F}^2}.$$

Caution: Do not mix up $D(f)$ and $d(f)$.

1. Note $f \simeq g \implies d(f) = d(g)$, because $f \simeq g \implies M_f = C^t M_g C$.

2. Also,

$$d(f_1 \perp f_2) = d(f_1)d(f_2).$$

3. Suppose (V, B) is a regular quadratic space. Then, define

$$d(V) = d(f) \quad \text{where } f \text{ is the form wrt a basis.}$$

So, if

$$V = \langle d_1 \rangle \perp \langle d_2 \rangle \perp \cdots \langle d_n \rangle \quad \text{then} \quad d(V) = d_1 d_2 \cdots d_n.$$

3 Hyperbolic Plane and Hyperbolic Spaces

Definition 3.1. Suppose (V, B) is a quadratic space (and q be the "quadratic" map).

1. A nonzero element $v \in V$ is said to be an **isotropic vector**, if $B(v, v) = 0$ (i.e. $q(v) = 0$). Otherwise v is called **anisotropic**.
2. A quadratic space (V, B) is called **isotropic** if it contains an (nonzero) isotropic vector.
3. (V, B) said to be **anisotropic**, if V contains no isotropic element.
4. (V, B) is called **totally isotropic**, if all its nonzero vectors are isotropic.
5. *The author avoids defining the zero vector as one of them, he calls it "fruitless debate".*
6. *The zero dimensional space is "technically" **anisotropic** space.*

Lemma 3.2. Suppose (V, B) is an anisotropic quadratic space. Then, V is regular.

Proof. We prove $V^\perp = 0$. Suppose $v \in V^\perp$. Then, $B(v, v) = 0$. So, $v = 0$.
■

Theorem 3.3 (3.2). Suppose (V, B) is two dimensional space. The following are equivalent:

1. V is regular and isotropic.
2. V is regular, with $d(V) = -1\dot{F}^2$.
3. V is isotrometric to $\langle 1, -1 \rangle$.

4. V corresponds to the equivalence class of binary quadratic form X_1X_2 .

Proof. (3) \iff (4) was established in §1.

((1) \implies (2)): By (2.5) $V = \langle d_1 \rangle \perp \langle d_2 \rangle$. Write $V = Fe_1 + Fe_2$, with $q(e_i) = d_i$ for some $e_1, e_2 \in V$. Since V is regular, $d_1 \neq 0, d_2 \neq 0$. Let $v = ae_1 + be_2$ be isotropic. We assume $a \neq 0$. Then,

$$0 = \langle v, v \rangle = a^2d_1 + b^2d_2. \quad \text{The determinant, } d(V) = d_1d_2 = -a^{-2}b^2d_2^2\dot{F}^2 = -1\dot{F}^2.$$

((2) \implies (3)): We have a diagonalization

$$V = \langle \langle Fe_1, d_1 \rangle \rangle \perp \langle \langle Fe_2, d_2 \rangle \rangle = \langle d_1 \rangle \perp \langle d_2 \rangle, \quad \text{where } V = Fe_1 + Fe_2.$$

By hypothesis, $d_1d_2 = -u^2$. Define

$$\tau : (V, B) \xrightarrow{\sim} \langle \langle Fe_1, d_1 \rangle \rangle \perp \langle \langle Fe_2, -d_1 \rangle \rangle \quad \text{by} \quad \begin{cases} \tau(e_1) &= e_1 \\ \tau(e_2) &= \frac{d_1^2 e_2}{u^2}. \end{cases}$$

Then $B(\tau(e_i), \tau(e_j)) = B(e_i, e_j)$. So, we will write

$$(V, B) = \langle \langle Fe_1, a \rangle \rangle \perp \langle \langle Fe_2, -a \rangle \rangle.$$

Claim: $D(V, B) = F$. To see this, let $\alpha \in F$, the system

$$\begin{cases} x + y &= a^{-1}\alpha \\ x - y &= 1 \end{cases} \quad \text{has solutions } x = b, y = c.$$

Then,

$$\langle be_1 + ce_2, be_1 + ce_2 \rangle = a(b^2 - c^2) = a(b + c)(b - c) = \alpha.$$

So, $\alpha \in D(V, B)$. In particular, (V, B) represents 1. By the representation criteria 2.4,

$$(V, B) \cong \langle \langle Fv, 1 \rangle \rangle \perp \langle \langle Fw, -u^2 \rangle \rangle \cong \langle \langle Fv, 1 \rangle \rangle \perp \langle \langle Fw, -1 \rangle \rangle.$$

((3) \implies (1)): Obvious.

Remark. Note $\langle Fv, a \rangle \not\cong \langle Fv, 1 \rangle$, unless $a \in \dot{F}^2$.

Definition 3.4. The isometry class of two dimensional quadratic spaces satisfying (3.3) is called the **Hyperbolic form** or plane. With respect to the standard basis the symmetric matrix is:

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

1. The Hyperbolic plane is denoted by \mathbb{H} .
2. The Hyperbolic plane is considered very basic. It is "**trivial**" (*loosely speaking*) in the category of quadratic spaces over F , in the sense the one dimensional space is, in the category of vector spaces over F .
3. An orthogonal sum $\mathbb{H} \perp \mathbb{H} \perp \cdots \perp \mathbb{H}$ of hyperbolic planes will be called a **Hyperbolic space**. The corresponding quadratic space can be written as (*i. e. with respect to some choice of basis*)

$$q = \sum_{i=1}^m (X_{2i-1}^2 - X_{2i}^2) \quad \text{or} \quad q = \sum_{i=1}^m X_{2i-1}X_{2i}.$$

4. **Looking Forward:** We will define the Witt group $W(F)$, in Chapter II. $W(F)$ is generated by all the isometry classes of quadratic spaces, where the hyperbolic spaces would represent the zero of $W(F)$.

Definition 3.5. A quadratic form (or space) is called **universal**, if it represents all the nonzero elements of F .

Theorem 3.6. Let (V, B) be a regular quadratic space. Then,

1. Every totally isotropic subspace $U \subseteq V$ with $\dim U = r > 0$ is contained in a hyperbolic subspace $T \subseteq V$ with $\dim T = 2r$.
2. V is isotropic if and only if V contains a hyperbolic plane (necessarily as an orthogonal sum by (2.6)).
3. V is isotropic $\implies V$ is universal.

Proof. (3) is obvious, because \mathbb{H} is given by $q = X_1X_2$. Also, (2) follows from (1) with $r = 1$.

Now we prove (1). Let v_1, \dots, v_r be a basis of U and $S = \sum_{j=2}^r Fv_j$. We have $U^\perp \subseteq S^\perp$. Also, since V is regular, by the dimension formula (1.10),

$$\dim S^\perp = \dim V - \dim S > \dim V - \dim U = \dim U^\perp.$$

So, we pick $y \in \dim S^\perp \setminus U^\perp$. So, $B(v_1, y) \neq 0$. Write $H_1 = Fv_1 + Fy$. The determinant

$$d(H_1) = \begin{vmatrix} 0 & B(v_1, y) \\ B(v_1, y) & B(y, y) \end{vmatrix} \cdot \dot{F}^2 = -B(v_1, y)^2 \cdot \dot{F}^2 = -1 \cdot \dot{F}^2.$$

By (3.3), $H_1 \cong \mathbb{H}$. By (2.6), $V \cong H_1 \perp H_1^\perp$. In fact, $B(v_i, v_j) = 0$ for all i, j , by lemma 3.7. Hence, it follows $S \subseteq H_1^\perp$. Now, the proof is complete by induction. The proof is complete. \blacksquare

Lemma 3.7. Let (T, B) be a totally isotopic quadratic space. Then $B(u, v) = 0$ for all $u, v \in T$.

Proof. It follows from

$$0 = B(u + v, u + v) = B(u, u) + B(v, v) + 2B(u, v).$$

The proof is complete. \blacksquare

Exercise.

1. Prove any element in F is difference of two squares (assume $1/2 \in F$, as always).

Corollary 3.8 (First Representation Theorem). *Let q be a regular quadratic form, and $d \in \dot{F}$. Then,*

$$d \in D(q) \iff q \perp \langle -d \rangle \text{ is isotropic.}$$

Proof. Assume $d \in D(q)$. So, there is a $v \in V$ such that $q(v) = d$. So, denote $Q = q \perp \langle -d \rangle$. So, $Q(v, 1) = d - d = 0$. Conversely, assume $Q = q \perp \langle -d \rangle$ is isotropic. Then, by hypothesis, $Q(v) = 0$. Write $v = (v_0, \lambda)$. This means

$$Q(v) = q(v_0) - \lambda^2 d = 0. \quad \text{So,} \quad q\left(\frac{v_0}{\lambda}\right) = d.$$

The proof is complete. ■

Corollary 3.9. *Let q_1, q_2 be regular forms of positive dimension. Then,*

$$q_1 \perp q_2 \text{ is isotropic} \iff D(q_1) \cap -D(q_2) \neq \phi.$$

Proof. Suppose $q_1 \perp q_2$ is isotropic. If q_1 is isotropic, then $D(q_1) = \dot{F}$ and we are done. So, we assume q_1, q_2 are anisotropic. We have, $q_1(v_1) + q_2(v_2) = 0$ for some nonzero $v_1 \in V_1, v_2 \in V_2$. Since $q_1(v_1) \neq 0, q_2(v_2) \neq 0$, $q_1(v_1) = -q_2(v_2) \in D(q_1) \cap -D(q_2)$.

Conversely, suppose $\lambda \in D(q_1) \cap -D(q_2)$. If $q_1 \perp q_2$ is isotropic, we are done. Assume they are anisotropic and $q_1(v_1) = -q_2(v_2) = \lambda \neq 0$ for some $v_1 \in V_1, v_2 \in V_2$. So, $q_1(v_1) + q_2(v_2) = 0$. So, $q_1 \perp q_2$ is isotropic. The proof is complete. ■

Corollary 3.10. *Let $r > 0$ be an integer. Then, the following are equivalent.*

1. *Any regular form of dimension r , over F is universal.*
2. *Any regular form of dimension $r + 1$, over F is isotropic.*

Proof. Suppose (1) holds and q be a quadratic form of dimension $r + 1$. We can assume q is anisotropic. By diagonalization, we can assume $q = q_0 \perp \langle d \rangle$, for some $d \neq 0$. Since, q_0 is universal, $q_0(v) = -d$ for some $v \in V(q_0)$. So, $q(v, 1) = 0$. Conversely, assume (2) holds and q is a regular a quadratic form of dimension r . Let $d \in \dot{F}$. By hypothesis $q \perp \langle -d \rangle$ is isotropic. By (3.10) $d \in D(q)$. The proof is complete. ■

4 Decomposition and Cancellation

We prove some fundamental theorem - namely Decomposition and the Cancellation. Much of it is due to Witt (1937).

Theorem 4.1 (Witt's Decomposition). *Suppose (V, q) is a quadratic space. Then,*

$$(V, q) \cong (V_t, q_t) \perp (V_h, q_h) \perp (V_a, q_a) \quad \text{is an isometry,}$$

where V_t is totally isotropic, V_h is hyperbolic space, V_a is anisotropic and q_t, q_h, q_a are restrictions of q . Further, isometry types of V_t, V_h, V_a are all uniquely determined.

Proof. Let V_0 be a subspace of V such that

$$V = V_0 \oplus \text{rad}(V). \quad \text{It follows } V = V_0 \perp \text{rad}(V)$$

Take $V_t = \text{rad}(V)$. It also follows V_t is totally isotropic.

Since $V_0^\perp = V^\perp$, V_0 is regular. If V_0 contains an isotropic vector we can write $V_0 = \mathbb{H} \perp V_1$. Inductively, we have

$$V_0 = (\mathbb{H} \perp \mathbb{H} \perp \cdots \perp \mathbb{H}) \perp V_a.$$

where V_a is anisotropic. With $V_h = (\mathbb{H} \perp \mathbb{H} \perp \cdots \perp \mathbb{H})$, we have

$$V = V_t \perp V_h \perp V_a \quad \text{as required.}$$

To prove the uniqueness part, we use the **Cancellation** theorem 4.2.

Proof of uniqueness: Suppose

$$V \cong V_t \perp V_h \perp V_a \cong V'_t \perp V'_h \perp V'_a,$$

where V_t, V'_t are totally isotropic, V_h, V'_h are hyperbolic spaces and V_a, V'_a are anisotropic. Taking the radical on both sides, we get

$$V_t \cong \text{rad}(V_t \perp V_h \perp V_a) \cong \text{rad}(V'_t \perp V'_h \perp V'_a) \cong V'_t.$$

So, by (4.2), $V_h \perp V_a \cong V'_h \perp V'_a$.

Now let $V_h = m\mathbb{H}$, $V'_h = n\mathbb{H}$ (direct sum of m or n copies of \mathbb{H}). So, we have $m\mathbb{H} \perp V_a \cong n\mathbb{H} \perp V'_a$. Assume $m \leq n$. By (4.2), cancelling \mathbb{H} , one by one, we get $V_a \cong V'_a \perp (n - m)\mathbb{H}$. Since left side is anisotropic, $m = n$ and $V_a \cong V'_a$. So, the uniqueness is established.

The proof is complete. ■

Theorem 4.2 (Cancellation). *Let q, q_1, q_2 be three quadratic forms. Then,*

$$q_1 \perp q \cong q_2 \perp q \implies q_1 \cong q_2.$$

Proof. Comes later. ■

Definition 4.3. Given a quadratic form (V, q) , by (4.1), we have $(V, q) \cong V_t \perp V_a \perp m\mathbb{H}$. Here $m = \frac{\dim V_h}{2}$ is uniquely determined. Define

1. Define **Witt index** of $V := m = \frac{\dim V_h}{2}$.
2. V_a is called the **anisotropic** part of V .

Corollary 4.4. *Suppose (V, q) is a regular quadratic space. The Witt index of V is equals the dimension of any maximal totally isotopic subspace of V .*

Proof. Since it is regular, $V_t = 0$ and $V \cong V_h \perp V_a$. Suppose U is a maximal totally isotopic subspace of V and $\dim U = r$. By theorem 3.6, there is a hyperbolic space $T \supseteq U$ with $\dim T = 2r$. Since T is also regular, by (2.6) we have, $V = T \perp T^\perp$. By maximality of U , T^\perp is anisotropic. By uniqueness, we have $T \cong V_h$. So,

$$m = \frac{\dim V_h}{2} = \frac{2r}{2} = r.$$

The proof is complete. ■

4.1 Reflection

We consider reflections and projections in any inner product spaces. However, now the field F need not be \mathbb{R} or \mathbb{C} . In any case, we define reflection in the the same way for quadratic spaces.

Suppose (V, B, q) be any quadratic space.

1. The group (is it so?!) of isomorphisms of V will be denoted by $O_q(V) = O(V)$. This is also called the **orthogonal group**.
2. Fix an **anisotropic** vector $y \in V$. Define

$$\tau_y : V \longrightarrow V \quad \text{by} \quad \tau_y(x) = x - \frac{2B(x, y)}{B(y, y)}y$$

Then τ_y is a linear transformation. More interestingly, it has the following properties:

- (a) $\tau_y(y) = -y$.
- (b) For all $x \in (Fy)^\perp$ we have $\tau_y(x) = x$.
- (c) Verbally, τ_y leaves $(Fy)^\perp$ pointwise fixed and sends $y \mapsto -y$.
- (d) So, for $y \in V_a$ it follows $\tau_y^2 = id$. We say τ_y is an **involution**.
- (e) In fact, $\tau_y \in O_q(V)$, which follows from the calculation:

$$\begin{aligned} B(\tau_y(x), \tau_y(x')) &= B\left(x - \frac{2B(x, y)}{B(y, y)}y, x' - \frac{2B(x', y)}{B(y, y)}y\right) \\ &= B(x, x') - \frac{4B(x, y)B(x', y)}{B(y, y)} + \frac{4B(x, y)B(x', y)}{B(y, y)}B(y, y) = B(x, x'). \end{aligned}$$

- (f) $\det(\tau_y) = -1$. To see this let $e_1 = y, e_2, \dots, e_N$ of V with $e_i \in (Fy)^\perp$ for all $i \neq 2$. By diagonalizing, $(Fy)^\perp$ we can assume $B(e_i, e_j) = 0$ for all $i \neq j$. The matrix of q with respect to this basis is:

$$\begin{pmatrix} -1 & \mathbf{0} \\ \mathbf{0}^t & I_{N-1} \end{pmatrix}. \quad \text{So,} \quad \det(\tau_y) = -1.$$

This is **not to be confused with** $\det(V)$.

- (g) τ_y is called a **hyperplane reflection**. It is a reflection against $(Fy)^\perp$.

3. Remark: For

$$\sigma \in O(V), \quad \text{we have} \quad \sigma\tau_y\sigma^{-1} = \tau_{\sigma(y)}.$$

So, set of hyperplane reflections $\{\tau_y : q(y) \neq 0\}$ is closed under conjugation in $O(V)$. **Proof.** Easy checking. ■

Proof of Cancellation Theorem 4.2: Suppose $q \perp q_1 \cong q \perp q_2$.

1. **Case q is totally isotropic and q_1 is regular:** Let M_i be the symmetric matrices of q_i , for $i = 1, 2$. Then, the symmetric matrices of $q \perp q_i$ are

$$\begin{pmatrix} 0 & 0 \\ 0 & M_i \end{pmatrix}.$$

Since $q \perp q_1 \cong q \perp q_2$

$$\exists E = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \ni \begin{pmatrix} 0 & 0 \\ 0 & M_1 \end{pmatrix} = E^t \begin{pmatrix} 0 & 0 \\ 0 & M_2 \end{pmatrix} E = \begin{pmatrix} 0 & 0 \\ 0 & D^t M_2 D \end{pmatrix}.$$

Since M_1 is nonsingular, so is D and $q_1 \cong q_2$.

2. **Cancellation holds when q is totally isotropic:** Diagonalize q_1, q_2 . Since the symmetric matrix of q is zero, using $q \perp q_1 \cong q \perp q_2$, we see both q_1, q_2 has same number of zeros, say r , in their diagonalization. So, $q_1 = r\langle 0 \rangle \perp q'_1, q_2 = r\langle 0 \rangle \perp q'_2$. So, we have

$$q \perp r\langle 0 \rangle \perp q'_1 \cong q \perp r\langle 0 \rangle \perp q'_2.$$

Since, q_1 is regular, by the first case, $q'_1 \cong q'_2$. So, $q_1 \cong q_2$.

3. **The General case:** In this case q is not necessarily totally isotropic. By diagonalization $q \cong \langle a_1, \dots, a_n \rangle$. Using induction, we can assume $n = 1$. If $a_1 = 0$, the theorem follows from above. So, we assume $a_1 \neq 0$. We have $\langle a_1 \rangle \perp q_1 \cong \langle a_1 \rangle \perp q_2$. Let $\varphi : \langle a_1 \rangle \perp q_1 \xrightarrow{\sim} \langle a_1 \rangle \perp q_2$ be an isometry. Write $\varphi : \langle a_1 \rangle = Fe_0$ and $z = \varphi(e_0)$. By theorem 4.5 there is an isometry $\psi \in O(V)$ such that $\psi(z) = e_0$. Let $\tau = \psi\varphi$. Then $\tau(e_0) = e_0$. In fact

$$\tau = \begin{pmatrix} 1 & 0 \\ \lambda e_0 & \eta \end{pmatrix} \quad \text{where } \lambda \in V^*, \quad \eta \in \text{End}(V).$$

Claim: $\lambda = 0$. To see this let $x \in V$. Then,

$$0 = B(e_0, x) = B(\psi(e_0), \psi(x)) = B(e_0, \lambda(x)e_0 + x) = \lambda(x)a_1.$$

Since $a_1 \neq 0$, we have $\lambda(x) = 0$. So, the claim is established. Therefore,

$$\tau = \begin{pmatrix} 1 & 0 \\ 0 & \eta \end{pmatrix}.$$

For $x, y \in V$, we have

$$B_1(x, y) = B(\tau(x), \tau(y)) = B(\eta(x), \eta(y))$$

So, η is isometry.

Theorem 4.5. *Let (V, q) be a quadratic space and $x, y \in V$ be such that $q(x) = q(y) \neq 0$. Then, there is an isometry such that $\tau(x) = y$.*

Proof. Geometrically, reflection around $F(x - y)^\perp$ would do. But we need $q(x - y) \neq 0$. We compute

$$q(x+y) + q(x-y) = B(x+y, x+y) + B(x-y, x-y) = 2B(x, x) + 2B(y, y) = 4q(x) \neq 0.$$

So, either $q(x + y) \neq 0$ or $q(x - y) \neq 0$. If needed, we replace y by $-y$ and assume $q(x - y) \neq 0$. Also,

$$\begin{aligned} q(x - y) &= B(x - y, x - y) = B(x, x) - 2B(x, y) + B(y, y) \\ &= 2(B(x, x) - B(x, y)) = 2B(x, x - y). \end{aligned}$$

So, we have

$$\tau_{x-y}(x) = x - \frac{2B(x, x - y)}{q(x - y)}(x - y) = x - (x - y) = y.$$

The proof is complete. ■

5 Witt's Chain Equivalence Theorem

In this section we exploit **binary forms**.

Proposition 5.1. *Let $q = \langle a, b \rangle$, $q' = \langle c, d \rangle$, be two binary regular forms. Then, $q \cong q'$ if and only if $d(q) = d(q')$ and q, q' represent a common element $e \in \dot{F}$.*

Proof. Suppose $q \cong q'$. Let $A = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$ be the symmetric matrix of q and $B = \begin{pmatrix} c & 0 \\ 0 & d \end{pmatrix}$ be the symmetric matrix of q' . So, $A = E^t B E$ and $\det A = \det E^2 \det B$. So, $d(q) = d(q')$. Write $E = \begin{pmatrix} x & y \\ z & w \end{pmatrix}$. Then,

$$\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} = \begin{pmatrix} x & z \\ y & w \end{pmatrix} \begin{pmatrix} c & 0 \\ 0 & d \end{pmatrix} \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} cx^2 + dz^2 & * \\ * & cy^2 + dw^2 \end{pmatrix}$$

So,

$$e := a = q(1, 0) = cx^2 + dz^2 = q'(x^2, z^2).$$

is the common element represented.

Conversely, let $e \in D(q) \cap D(q')$. By Representation criteria $q \cong \langle e, e' \rangle$. Taking determinants $ee' = abt^2$. So,

$$q \cong \langle e, e' \rangle \cong \langle e, \frac{abt^2}{e} \rangle \cong \langle e, abe \rangle. \quad \text{Similarly, } q' \cong \langle e, cde \rangle.$$

Again, $ab = cdu^2$. The proof is complete. ■

Definition 5.2. Suppose $q = \langle a_1, \dots, a_n \rangle$, $q' = \langle b_1, \dots, b_n \rangle$ two diagonal forms of dimension n .

1. We say q, q' are **simply-equivalent**, if there is i, j (possibly equal) such that

- (a) $\langle a_i, a_j \rangle \cong \langle b_i, b_j \rangle$,

- (b) and $a_k = b_k$ for all $k \neq i, j$.

2. We say q, q' are **chain equivalent**, if there exists a sequence

$$q_0 = q, q_1, \dots, q_{m-1}, q_m = q' \ni q_i, q_{i+1} \text{ are simply equivalent.}$$

In this case, we write $q \approx q'$.

3. Clearly, $q \approx q' \implies q \cong q'$.

The converse:

Theorem 5.3 (Chain Equivalence Theorem). *Suppose $f = \langle a_1, \dots, a_n \rangle$, $g = \langle b_1, \dots, b_n \rangle$ two diagonal forms of dimension n . Then,*

$$f \cong g \iff f \approx g.$$

Proof. We only prove \implies : For a permutation $\sigma \in S_n$, define $f^\sigma = \langle a_{\sigma(1)}, \dots, a_{\sigma(n)} \rangle$. Since S_n is generated by transpositions, we have $f^\sigma \approx f$, because

$$\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} b & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Using this we can assume all the zero entries in f, g are at the end. Since $f \cong g$, it follows they have same number of zeros. By cancellation, we can assume both f, g are regular. So, $a_i \neq 0, b \neq 0$ for all i .

Without loss we assume $n \geq 3$ and we will use induction. Since $f \approx g$, we have $D(f) = D(g)$. So, $b_1 \in D(f)$.

Claim: $f \approx \langle b_1, c_2, \dots, c_n \rangle$ for some $c_i \neq 0$. To see this consider the set

$$\mathcal{F} = \{f' = \langle c_1, c_2, \dots, c_n \rangle : f \approx f'\}$$

Let $h = \langle c_1, c_2, \dots, c_n \rangle \in \mathcal{F}$ the subform $\langle c_1, c_2, \dots, c_p \rangle$ represent b_1 , with $p \leq n$ **minimum**. We will prove $p = 1$. Suppose $p \geq 2$. We have

$$b_1 = \sum_{i=1}^p c_i x_i^2.$$

Since p is minimal, $d = c_1 x_1^2 + c_2 x_2^2 \neq 0$. By Representation theorem 2.4, $\langle c_1, c_2 \rangle \cong \langle d, c_1 c_2 d \rangle$ (the 2nd coordiante is obtained by adjusting determinant). Therefore,

$$f \approx h = \langle c_1, c_2, c_3, \dots, c_n \rangle \approx \langle d, c_1 c_2 d, c_3, \dots, c_n \rangle \approx \langle d, c_3, \dots, c_n, c_1 c_2 d \rangle.$$

Now, first $p - 1$ terms represents b_1 . Which is a contradiction and $p = 1$.

So, $h = \langle b_1, c_2, \dots, c_n \rangle$ for some c_i . It follows

$$\langle b_1, c_2, \dots, c_n \rangle \cong \langle b_1, b_2, \dots, b_n \rangle. \quad \text{By cancellation} \quad \langle c_2, \dots, c_n \rangle \cong \langle b_2, \dots, b_n \rangle.$$

By induction

$$\langle c_2, \dots, c_n \rangle \approx \langle b_2, \dots, b_n \rangle.$$

Therefore,

$$f \approx \langle b_1, c_2, \dots, c_n \rangle \approx \langle b_1, b_2, \dots, b_n \rangle = g.$$

The proof is complete. ■

6 Tensor Product of Quadratic Spaces

Lam call it Kronecker Tensor Product of Quadratic Spaces.

Definition 6.1. Let $(V_1, B_1, q_1), (V_2, B_2, q_2)$ be quadratic forms over F , with $\dim V_1 = m, \dim V_2 = n$. Write $V = V_1 \otimes V_2$. Define

$$B : V \times V \longrightarrow F \quad \text{by} \quad B(v_1 \otimes v_2, v'_1 \otimes v'_2) = B_1(v_1, v'_1)B_2(v_2, v'_2) \quad \forall v_i, v'_i \in V_i.$$

It is easy to see that B extends to a symmetric bilinear pairing on $V \times V$.

Method: To do this check it extends to a map $V \longrightarrow V^*$, which I skip ([Exercise](#)).

So, (V, B) is a quadratic space with $\dim V = mn$. Let $q = q_B$. Obviously,

$$q(v_1 \otimes v_2) = q_1(v_1)q_2(v_2). \quad \text{We denote} \quad q = q_1 \otimes q_2 \quad \text{or} \quad = q_1 q_2.$$

Now we coordinatize. Suppose $\{e_1, \dots, e_m\}$ is a basis of V_1 and $\{\epsilon_1, \dots, \epsilon_n\}$ is a basis of V_2 . Let $a_{ij} = B_1(e_i, e_j)$ and $M = (a_{ij})$. Also, let $b_{lk} = B_2(\epsilon_l, \epsilon_k)$ and $N = (b_{lk})$. We have

$$\{e_1 \otimes \epsilon_1, \dots, e_1 \otimes \epsilon_n; \dots; e_m \otimes \epsilon_1, \dots, e_m \otimes \epsilon_n\} \quad \text{is a basis of} \quad V.$$

With respect this basis, the symmetric matrix of B is

$$\begin{pmatrix} a_{11}N & a_{12}N & \cdots & a_{1m}N \\ a_{21}N & a_{22}N & \cdots & a_{2m}N \\ a_{31}N & a_{32}N & \cdots & a_{3m}N \\ \cdots & \cdots & \cdots & \cdots \\ a_{m1}N & a_{m2}N & \cdots & a_{mm}N \end{pmatrix}. \quad \text{This is also called the [Kronecker product](#).$$

This Kronecker product of quadratic forms satisfies the following:

1. (Commutativity): $q_1 \otimes q_2 \cong q_2 \otimes q_1$.
2. (Associativity) $(q_1 \otimes q_2) \otimes q_3 \cong q_1 \otimes (q_2 \otimes q_3)$.
3. (Distributivity): $(q \otimes (q_1 \perp q_2)) \cong (q \otimes q_1) \perp (q \otimes q_2)$.

4. For diagonal forms, distributivity takes the shape:

$$\langle a_1, \dots, a_m \rangle \otimes \langle b_1, \dots, b_n \rangle \cong \langle a_1 b_1, \dots, a_1 b_n; \dots; a_m b_1, \dots, a_m b_n \rangle$$

Notation: For a nonnegative integer r and a quadratic form, denote

$$r \cdot f = rf := f \perp \dots \perp f \quad (r \text{ copies}).$$

Corollary 6.2. *Suppose q is a regular quadratic form. Then, $q \otimes \mathbb{H} \cong (\dim q)\mathbb{H}$.*

Proof. We diagonalize $q = \langle a_1, \dots, a_m \rangle$, with $a_i \neq 0$. Then,

$$q \otimes \mathbb{H} = \langle a_1, \dots, a_m \rangle \otimes \mathbb{H} \cong (\langle a_1 \rangle \mathbb{H}) \perp \dots \perp (\langle a_m \rangle \mathbb{H}) \cong m\mathbb{H}$$

The proof is complete. ■

7 Generation of $O(V)$ by reflections

Recall, the group $O(V)$ of all isometries $\sigma : V \xrightarrow{\sim} V$ is called **orthogonal group**. We will prove that the orthogonal group $O(V)$ of a regular quadratic space is generated by reflections.

Theorem 7.1 (Cartan-Dieudonné). *Suppose (V, B, q) is regular quadratic space, with $\dim V = n$. Then, every isometry $\sigma \in O_q(V)$ is a **product of at most n hyperplane reflections**.*

The proof comes after a few consequences.

Corollary 7.2. *Use the notations as in (7.1). Suppose $\sigma \in O_q(V)$ is product of n hyperplane reflections. Then, the first (or similarly the last) reflection in the product can be chosen arbitrarily.*

Proof. Suppose $\sigma = \tau_1 \tau_2 \cdots \tau_n$ where τ_i are hyperplane reflections. Let τ be any hyperplane reflection. By (7.1), $\tau\sigma = \tau'_2 \cdots \tau'_r$ where $r \leq n + 1$. We have $\det(\sigma) = (-1)^n = -\det(\tau\sigma) = (-1)^r$. So, $n - r = 2k$ for some k . Since $r \leq n + 1$, we have $r \leq n$. We have $\tau^2 = 1$. So,

$$\sigma = \tau^2 \sigma = \tau(\tau'_2 \cdots \tau'_r) \quad \text{as desired.}$$

The proof is complete. ■

Notation. Denote $SO(V) = \{\sigma \in O(V) : \det \sigma = 1\}$. Here "S" is for "Special". Recall the analogy: $GL_n(F)$ and $SL_n(F)$. Here "GL" abbreviates "General Linear".

Corollary 7.3. *If $\dim V = 2$, then every isometry with determinant -1 is a reflection. If $\dim V \leq 3$, then every $\sigma \in SO(V)$ is product of two reflections.*

Proof. It follows immediately from (7.1), by comparing determinants. ■

Corollary 7.4. ($\dim V = n$). *Let $\sigma \in O(V)$. Define*

$$L(\sigma) = \{v \in V : \sigma(v) = v\}$$

the fixed subspace of σ .

1. If σ is product of r reflections ($r \leq n$), then $\dim L(\sigma) \geq n - r$.
2. If $L(\sigma) = 0$, then σ cannot be written as product of less than n reflections.

Proof. (2) follows from (1). Now suppose, $\sigma = \tau_1 \cdots \tau_r$, where τ_i are reflections. Recall $\dim L(\tau_i) = n - 1$. Then, $L(\tau_1) \cap \cdots \cap L(\tau_r) \subseteq L(\sigma)$. But $\dim(L(\tau_1) \cap \cdots \cap L(\tau_r)) \geq n - r$. The proof is complete. ■

Exercise. Give a proof of $\dim(L(\tau_1) \cap \cdots \cap L(\tau_r)) \geq n - r$. Following exact sequence helps:

$$0 \longrightarrow V \cap W \longrightarrow V \oplus W \longrightarrow V + W \longrightarrow 0 \quad \text{where } V, W \text{ are subspaces of } U.$$

Notations. For $\sigma \in O(V)$ define

1. $\tilde{\sigma} = \sigma - 1_V$.

7.1 Proof of theorem 7.1

We proceed to prove theorem 7.1.

Lemma 7.5. We have $L(\sigma) = \text{Im}(\tilde{\sigma})^\perp$.

Proof. Let $v \in L(\sigma)$. So, $\sigma(v) = v$. For $w \in V$, we have

$$B(v, \tilde{\sigma}(w)) = B(v, \sigma(w) - w) = B(v, \sigma(w)) - B(v, w) = B(\sigma(v), \sigma(w)) - B(v, w) = 0.$$

So, $L(\sigma) \subseteq \text{Im}(\tilde{\sigma})^\perp$. Now, let $v \in \text{Im}(\tilde{\sigma})^\perp$. For $w \in V$ we have

$$B(\sigma(v) - v, \sigma(w)) = B(\sigma(v), \sigma(w)) - B(v, \sigma(w)) = B(v, w) - B(v, \sigma(w)) = -B(v, \tilde{\sigma}(w)) = 0.$$

Replacing $\sigma(w)$ by w , we get $\sigma(v) - v \in \text{rad}(V) = 0$. So, $v \in L(\sigma)$. So, $\text{Im}(\tilde{\sigma})^\perp \subseteq L(\sigma)$. The proof is complete. ■

Remark. It is easy to see, for a subspace W of a quadratic space, (W, B) is totally isotropic if and only if $W \subseteq W^\perp$.

Corollary 7.6. *Two things:*

1. $(L(\sigma))^\perp = \text{Im}(\tilde{\sigma})$.

2. Also,

$$\tilde{\sigma}^2 = 0 \iff \text{Im}(\tilde{\sigma}) \text{ is totally isotropic.}$$

Proof. By (7.5), (1) follows by taking \perp . Now, suppose $\tilde{\sigma}^2 = 0$. We have $\text{Im}(\tilde{\sigma})$ is totally isotropic if and only if

$$\text{Im}(\tilde{\sigma}) \subseteq \text{Im}(\tilde{\sigma})^\perp = L(\sigma) := \ker(\tilde{\sigma}) \quad \text{by (7.5)} \iff \tilde{\sigma}^2 = 0.$$

The proof is complete. ■

Corollary 7.7. *Let $w \in V$. Then,*

$$\tilde{\sigma}(w) \perp \tilde{\sigma}(w) \iff \tilde{\sigma}(w) \perp w.$$

Proof. We have

$$\begin{aligned} B(\tilde{\sigma}(w), \tilde{\sigma}(w)) &= B(\sigma(w) - w, \sigma(w) - w) = B(\sigma(w), \sigma(w)) - 2B(\sigma(w), w) + B(w, w) \\ &= 2B(w, w) - 2B(\sigma(w), w) = 2B(w - \sigma(w), w) = -2B(\tilde{\sigma}(w), w). \end{aligned}$$

The proof is complete. ■

Corollary 7.8. *Suppose $\tilde{\sigma}^2 \neq 0$. Then,*

1. \exists an anisotropic vector $w \neq 0$ such that $z = \tilde{\sigma}(w)$ is anisotropic or zero.
2. In case $z \neq 0$, and $\sigma_1 = \tau_z \sigma$, then $w \in L(\sigma_1)$.

Proof. Will come later, because it is technical. ■

Proof of (7.1): We use induction on $n = \dim V$. If $n = 1$ then $O(V) = \{\pm 1\}$, where -1 represents the reflection $x \mapsto -x$. (Prove it). So, assume $n > 1$ and the theorem holds for all regular forms of dimension less than n . Now suppose $\sigma \in O(V)$. We prove by contrapositive. So, assume σ does not satisfy the theorem: this means either it is not product of reflections or it is a product of more than n reflections. We claim $\tilde{\sigma}^2 = 0$. If not, by (7.8), there is a $w \in V$ as stated.

1. Assume $z = \tilde{\sigma}(w) = 0$. Then, $\sigma(w) = w$. It follows $\sigma((Fw)^\perp) \subseteq (Fw)^\perp$. So, σ induces an isometry on $(Fw)^\perp$. So, $\sigma|_{(Fw)^\perp} = \tau_1 \cdots \tau_r$ with $r \leq n - 1$ and $\tau_i \in O((Fw)^\perp)$ are reflections. Extend τ_i to V by sending $w \mapsto w$, which we continue to denote by τ_i . The extensions are also reflections. So, σ itself is product of $r \leq n - 1$ reflections. This is a contradiction.
2. Now, assume $z = \tilde{\sigma}(w) \neq 0$. In this case, with $\sigma_1 = \tau_z \sigma$, we have $\sigma_1(w) = w$. Arguing same way as σ_1 is product of $r \leq n - 1$ reflections. So, $\sigma = \tau_z \sigma_1$ is product of $r \leq n$ reflections. This is also a contradiction.
3. **Remark.** Note we used w is anisotropic, otherwise there would be no guarantee that $\dim Fw^\perp < n$, which is needed to apply induction.

So, it follows $\tilde{\sigma}^2 = 0$, as was claimed. So, $Im(\tilde{\sigma}) \subseteq \ker(\tilde{\sigma}) = L(\sigma)$.

1. Suppose $L(\sigma)$ is not totally isotropic. Then, $\exists w \in L(\sigma)$ that is anisotropic. So, the the same argument above σ would be product of $r \leq n$ reflections, which would be a contradiction. So, $L(\sigma)$ is totally isotropic. So,

$$L(\sigma) \subseteq L(\sigma)^\perp = Im(\tilde{\sigma}) \quad \text{by (7.6).} \quad \text{So,} \quad L(\sigma) = Im(\tilde{\sigma}).$$

2. By dimension formula

$$n = \dim L(\sigma) + \dim Im(\tilde{\sigma}) = 2 \dim L(\sigma) \quad \text{is even.}$$

3. σ acts as identity of $L(\sigma)$ and also acts as identity on

$$\frac{V}{L(\sigma)} = \frac{V}{(\sigma - 1_V)(V)}.$$

So, $\det \sigma = 1$ i. e. $\sigma \in SO(V)$.

4. So, we have established, if

$$\sigma \quad \text{does not satisfy the theorem} \quad \implies \det \sigma = 1.$$

5. Now, τ be any reflection. Then $\det(\tau\sigma) = -1$. By (4), $\tau\sigma$ satisfy the theorem and hence product of $r \leq n$ reflection. So, $\sigma = \tau(\tau\sigma)$ is product of $r + 1 \leq n + 1$ reflection. Since $n = \dim V$ is even, and $\det \sigma = 1$, σ is not product of $n + 1$ reflections. So, σ is product of $\leq n$ reflections. The proof is complete. \blacksquare

Proof of (7.8): Assume (1) of lemma 7.8 is false. We will prove $\tilde{\sigma}^2 = 0$. The assumption means

$$w \neq 0 \in V \text{ anisotropic} \implies \tilde{\sigma}(w) \neq 0 \text{ and is isotropic.}$$

This means

$$\tilde{\sigma}(w) \perp \tilde{\sigma}(w) \quad \text{By (7.7)} \quad \tilde{\sigma}(w) \perp w.$$

The binary form $Fw \oplus F\tilde{\sigma}(w)$ is not regular, because its matrix is

$$\begin{pmatrix} q(w) & 0 \\ 0 & 0 \end{pmatrix}. \quad \text{Since } V \text{ is regular} \quad \dim V \geq 3.$$

Claim : $\forall y \in V \quad y \perp \tilde{\sigma}(y)$.

If $y = 0$ the claim is obvious and if $y \neq 0$ and is anisotropic, it is observed above. So, assume $y \neq 0$ is isotropic. Then, by (3.6) $Fy \oplus Fv \cong \mathbb{H}$ for some v . Now, by decomposition theorem, we write $V = ((Fy \oplus Fv) \perp r\mathbb{H} \perp V_a$. Since $\dim V \geq 3$, there is a anisotropic w such that $y \perp w$. Write $u = y + \epsilon w$ with $\epsilon \in \dot{F}$.

$$B(u, u) = B(y + \epsilon w, y + \epsilon w) = \epsilon^2 B(w, w) \neq 0.$$

So, $u = y + \epsilon w$ is anisotropic and nonzero $\forall \epsilon \in \dot{F}$. So, by the contrary hypothesis, $u \perp \tilde{\sigma}(u)$ for all $\epsilon \in \dot{F}$. That means,

$$\begin{aligned} 0 &= B(\tilde{\sigma}(u), u) = B(\tilde{\sigma}(y + \epsilon w), y + \epsilon w) \\ &= B(\tilde{\sigma}(y), y) + \epsilon[B(\tilde{\sigma}(w), y) + B(\tilde{\sigma}(y), w)] + \epsilon^2 B(\tilde{\sigma}(w), w) \end{aligned}$$

Since the last term is zero, we have,

$$0 = B(\tilde{\sigma}(y), y) + \epsilon[B(\tilde{\sigma}(w), y) + B(\tilde{\sigma}(y), w)] \quad \forall \epsilon \in \dot{F}..$$

So, $B(\tilde{\sigma}(y), y) = 0$. This establishes the claim.

By (7.7), we have $Im(\tilde{\sigma})$ is totally isotopic. By (7.6(2)), $\tilde{\sigma}^2 = 0$. This establishes (1) of the lemma.

To prove (2), we compute

$$\begin{aligned}\sigma_1(w) &= \tau_z(\sigma(w)) = \sigma(w) - \frac{2B(\sigma(w), z)}{q(z)}z = \sigma(w) - \frac{2B(\sigma(w), \tilde{\sigma}(w))}{q(\tilde{\sigma}(w))}\tilde{\sigma}(w) \\ &= \sigma(w) - \frac{2(B(w, w) - B(\sigma(w), w))}{2(B(w, w) - B(\sigma(w), w))}\tilde{\sigma}(w) = w.\end{aligned}$$

The proof is complete. Lam gives a geometric argument. ■