

Abstract  
Four Chapters on Background  
Classifying spaces and Quillen  $K$   
Expected Theorems begins  
Agreement  
 $K$ -Theory of rings  
 $K$ -theory of schemes  
Ch. 10. Projective bundle theorem of  $K$ -theory  
Ch. 11. Swan's work on spheres

# Quillen $K$ -Theory

## A reclamation in Commutative Algebra

Satya Mandal  
*Department of Mathematics, KU*

27, 30 October 2020

## Abstract

Four Chapters on Background

Classifying spaces and Quillen  $K$

Expected Theorems begins

Agreement

$K$ -Theory of rings

$K$ -theory of schemes

Ch. 10. Projective bundle theorem of  $K$ -theory

Ch. 11. Swan's work on spheres

# Prelude

- ▶ When I was grad. Student  $K$ -theory used to be part of Commutative Algebra.
- ▶ After Quillen published his paper in 1972. He used too much topology, for most algebraist to be able to handle.
- ▶ For most part, Topology used was basic. Depending on the area of math, these are taught to the graduate students.

# Reclamation and Opportunity

- ▶ I consolidated the background needed, in about 120 pages. Everyone knows parts of it, many not be the same parts for all.  $K$ -theory can be taught to algebra students.
- ▶ After Quillen's paper, Topologist did what they are good at. They did not answer what Algebraists envisioned. Algebra community did not provide their input.
- ▶ So, there is a gold mine of research potential in Algebra.
  - ▶ Describe these groups algebraically.
  - ▶ Propose newer questions, to simplify and naturalize these proofs

# Ch. 1: Category Theory

In the  $K$ -theory literature, they put everything in the framework of categories, and arrows (maps). Highlights:

- ▶ A proof of Snake Lemma, for abelian categories.
- ▶ Quotient categories
- ▶ Inverting arrows (Localization). Calculus of fractions.
- ▶ Definition of **Exact categories**.

## Ch. 2: On Homotopy Theory

We will see, for an exact category  $\mathcal{E}$ ,

the  $K$ -groups  $K_i(\mathcal{E})$  are homotopy groups  $\pi_i(-, \star)$ .

**Good News:** I avoided Homology Theory entirely.

In about 20 pages, I summarized the background on topology and Homotopy Theory needed.

Another 20 pages, discussed Quasifibrations (Dold-Thom), which would not be taught in graduate courses.

## Ch. 2: On Homotopy Theory

- ▶ Given an exact sequence

$$0 \longrightarrow K_{\bullet} \xrightarrow{g} M_{\bullet} \xrightarrow{f} N_{\bullet} \longrightarrow 0 \quad \text{of modules}$$

(or in an abelian category) there is a long exact sequence

$$\dots \longrightarrow H_n(K_{\bullet}) \xrightarrow{g_*} H_n(M_{\bullet}) \xrightarrow{f_*} H_n(N_{\bullet}) \longrightarrow H_{n-1}(K_{\bullet}) \longrightarrow \dots$$

- ▶ We can do better.

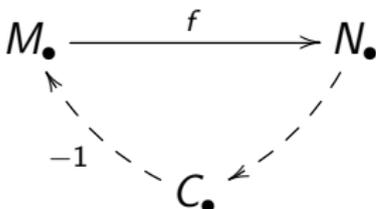
Given a map  $f : M_{\bullet} \longrightarrow N_{\bullet}$ , define the cone  $C_{\bullet}(f)$ , by

$$C_n(f) = N_n \oplus M_{n-1}$$

so that it fits in a short exact sequence

## Ch. 2: On Homotopy Theory

- ▶ Or, we have a triangle (*birth of triangulated categories*)



So, we have an exact sequence

$$\dots \longrightarrow H_n(M_\bullet) \xrightarrow{f_*} H_n(N_\bullet) \longrightarrow H_n(C_\bullet(f)) \longrightarrow H_{n-1}(M_\bullet) \longrightarrow \dots$$

## Ch. 2: On Homotopy Theory

- ▶ While all that comes from Topology.

We do reverse engineering.

Given a continuous map  $f : (X, x_0) \longrightarrow (B, b_0)$ ,

of pointed topological spaces,

a topological space  $F(f) := F(f, b_0)$ ,

to be called **homotopy fibre**, is defined, by

$$F(f, b_0) = \{(x, \gamma) : x \in X, \gamma \text{ is a path } f(x) \mapsto b_0\}$$

Then, we have a long exact sequence

$$\cdots \longrightarrow \pi_n(F(f), \star) \longrightarrow \pi_n(X, x_0) \longrightarrow \pi_n(B, b_0)$$

## Ch. 2: On Homotopy Theory

- ▶ A **homotopy fibration** is a diagram, as follows:

$$\begin{array}{ccccc}
 F & \longrightarrow & (X, x_0) & \xrightarrow{f} & (B, b_0) \\
 \downarrow & & \nearrow & & \\
 \wr \downarrow & & & & \\
 (F(f), \star) & & & & 
 \end{array}$$

where the vertical arrow is a homotopy equivalence. So, a homotopy fibration also lead to a long exact sequence.

## Ch. 2: On Homotopy Theory

- ▶ Homotopy theory has a **base point issue**.

Let  $f : X \rightarrow B$  be a continuous map.

For  $b \in B$ , let  $F_b := f^{-1}b$  be the fibre.

We say  $f$  is a **Quasifibration**, if

$$F_b \rightarrow (X, x) \rightarrow (B, b) \quad \text{is a homotopy fibration.}$$

Consequently,  $\forall b \in B$ , and  $x \in F_b$ ,

leads to an exact sequence

$$\cdots \rightarrow \pi_n(F_b, x) \rightarrow \pi_n(X, x) \rightarrow \pi_n(B, b)$$

## Ch. 2: On Homotopy Theory

- ▶ We establish (Dold-Thom) **necessary and sufficient conditions** for a map  $f$  to be a quasifibration, in another 20 pages.
- ▶ Two key theorems in Quillen's paper are **Theorem A, B**. In a sense, Theorem A, B are like the heart of his paper. Characterization of **Quasifibrations** becomes instrumental in the proofs.

## Ch. 3. CW Complexes

In 20 pages, I consolidate the information needed about **CW complexes**, mainly from the book of Hatcher.

- ▶ A **CW complexes**, is a a topological space  $X$  together with a sequence of subspaces

$$X_0 \subseteq X_1 \subseteq X_2 \subseteq \dots \quad \ni \quad X = \bigcup X_n$$

where  $X_n$  would be called the  **$n$ -skeleton**

- ▶  $X_0$  is given the discrete topology,  
and  $X_n$  is built from  $X_{n-1}$  by  
attaching a family of  $n$ -cells  $e_\alpha^n$  (**open disk**).

# Ch. 3. CW Complexes

So, we have a push forward diagram

$$\begin{array}{ccc}
 \coprod_{\alpha \in \mathcal{I}^n} \mathbb{S}^{n-1} & \xrightarrow{\coprod \varphi_\alpha} & X_{n-1} \\
 \downarrow & & \downarrow \\
 \coprod_{\alpha \in \mathcal{I}^n} \mathbb{D}^n & \xrightarrow{\coprod \Phi_\alpha} & X_n
 \end{array}$$

in **Top**

Note  $\Phi_\alpha$  maps the open disk

$U^n \xrightarrow{\sim} \Phi_\alpha(U^n)$  homeomorphically.

$X$  has the weak topology. This means

$U \subseteq X$  is open  $\iff U \cap X_n$  is open in  $X_n$ .

## Ch. 3. CW Complexes

CW complexes are very natural objects.

They enjoy many natural properties, like  $(\mathbb{D}^n, \mathbb{S}^{n-1})$ .

- ▶ **Theorem:** Suppose  $(X, A)$  be a CW pair. Then,  $(X, A)$  has homotopy extension property (**HEP**).

# Ch. 3. CW Complexes

Weak equivalences are defined in many categories.

- ▶ **Definition:** A continuous map  $f : X \longrightarrow Y$  is called a **weak equivalence** if the induced maps  $f_* : \pi_n(X, x) \xrightarrow{\sim} \pi_n(Y, (f(x)))$  are isomorphisms  $\forall n$ .
- ▶ You may recall, a map of complexes of modules is called a weak equivalence, if it induces isomorphism of homologies.

## Ch. 3. CW Complexes

- ▶ Among the **most frequently used results** are the following theorem of JHC Whitehead:
- ▶ **Theorem:** Let  $f : X \rightarrow Y$  be a continuous map of CW complexes. Then,  $f$  is **homotopy equivalence**  $\iff$   $f$  is a **weak equivalence**.
- ▶ **Theorem:** Suppose  $X = \bigcup X_n$  is a CW complex, and  $x \in X_r$ . Then,

$$\left\{ \begin{array}{l} \pi_k(X_r, x) \xrightarrow{\sim} \pi_k(X, x) \\ \pi_r(X_r, x) \twoheadrightarrow \pi_r(X, x) \end{array} \right. \text{ is isomorphism } \quad \forall k \leq r-1$$

is surjective

# Ch. 4. Simplicial Sets

The geometry of simplicial sets, further breaks down the topological information combinatorially.

For us information flow is as follows:

**Topology**  $\iff$  **combinatorial Geometry**  $\iff$  **Algebra**

Recall the  $\Delta$ -category.

Objects in  $\Delta$  are sets  $[n] := \{0, 1, 2, \dots, n\}$ .

Arrows  $[m] \longrightarrow [n]$  are **non decreasing maps**.

Such arrows are compositions of

$$\begin{cases} d^i : [n-1] \longrightarrow [n] & \text{face} \\ s^i : [n] \longrightarrow [n-1] & \text{degeneracies} \end{cases}$$

## Ch. 4. Simplicial Sets

- Let  $e_0, e_1, e_2, \dots, \in \mathbb{R}^{\{0,1,2,\dots\}}$  be the standard basis. Let  $\Sigma^n$  be the convex hull of  $e_0, e_1, \dots, e_n$ . So,

$$\Sigma^n = \{(t_0, t_1, \dots, t_n) : 0 \leq t_i \leq 1, \sum t_i = 1\}$$

We say  $\Sigma^n$  is the **standard  $n$ -simplex**. Then

$\Sigma^\bullet : \Delta \longrightarrow \mathbf{Top}$  is a covariant functor,

also known as co-simplicial set.

# Ch. 4. Simplicial Sets

- ▶ A **simplicial set**  $K_\bullet$  is a contravariant functor

$$K_\bullet : \Delta \longrightarrow \mathbf{Sets}$$

- ▶ The **geometric realization** of  $K_\bullet$  is defined by

$$|K_\bullet| = \frac{\coprod_n K_n \times \Sigma^n}{\sim}$$

So,  $\forall \sigma \in K_n$ , there is one standard  $n$ -simplex  $\sigma \times \Sigma^n$ .

# Ch. 4. Simplicial Sets

**Main thing** that we need to know is the following:

- ▶ **Theorem:** Let  $K_\bullet$  be a simplicial set.  
Then  $|K_\bullet|$  is a **CW complex**.
- ▶ The classifying spaces that we define next, would be a geometric realization of a simplicial set. Hence, they would be CW complexes, and we can use everything we know about CW complexes.

# Ch. 5. Classifying Spaces

Let  $\mathcal{C}$  be a category (always small).

**Definition:** The **nerve** of a  $\mathcal{C}$  is defined to be a **simplicial set**  $\mathbf{N}_\bullet(\mathcal{C})$ , as follows

- ▶ An  $n$ -simple  $\sigma \in \mathbf{N}_n(\mathcal{C})$  is a sequence of composable arrows

$$\sigma := X_0 \longrightarrow \cdots \longrightarrow X_r \xrightarrow{f_r} X_{r+1} \longrightarrow \cdots \longrightarrow X_n$$

- ▶ Given  $\iota : [m] \longrightarrow [n]$ , a map  $\mathbf{N}(\iota) : \mathbf{N}_n(\mathcal{C}) \longrightarrow \mathbf{N}_m(\mathcal{C})$  is obtained by **inserting identity** or by **composing successive arrows**.

# Ch. 5. Classifying Spaces

**Definition:** The **Classifying space** of  $\mathcal{C}$  is defined to be

$$\mathbb{B}\mathcal{C} := |\mathbf{N}_\bullet(\mathcal{C})| \quad \text{the geometric realization.}$$

So, for any object  $X \in \mathcal{C}$ , we can define **homotopy groups**:

$$\pi_n(\mathcal{C}, X) := \pi_n(\mathbb{B}\mathcal{C}, X)$$

## Ch. 5. Classifying Spaces

- ▶ Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be covariant functor.

Then, it induces a map

$$\mathbb{B}F : \mathbb{B}\mathcal{C} \rightarrow \mathbb{B}\mathcal{D} \quad \text{is continuous.}$$

- ▶ Further,

$$\mathbb{B} : \mathbf{Cat} \rightarrow \mathbf{Top} \quad \text{sending} \quad \begin{cases} \mathcal{C} \mapsto \mathbb{B}\mathcal{C} \\ F \mapsto \mathbb{B}F \end{cases}$$

is a functor, where **Cat** denotes the category of all small categories and functors.

# Ch. 5. Classifying Spaces

- Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be two functors, and  $\theta : F \rightarrow G$  be a **natural transformation**. Then,  $\theta$  induces a **homotopy**

$$H : \mathbb{B}\mathcal{C} \times I \rightarrow \mathbb{B}\mathcal{D} \quad \ni \quad \begin{cases} H(-, 0) = \mathbb{B}F \\ H(-, 1) = \mathbb{B}G \end{cases}$$

## Ch. 5. Classifying Spaces

- ▶ Let  $F : \mathcal{C} \rightarrow \mathcal{D}$ ,  $G : \mathcal{D} \rightarrow \mathcal{C}$  be two functors, and assume  $F$  is **left adjoint** to  $G$ , then

$\mathbb{B}F : \mathbb{B}\mathcal{C} \rightarrow \mathbb{B}\mathcal{D}$  is a **homotopy equivalence**.

- ▶ Consequently, if  $\mathcal{C}$  has an initial or final object, then  $\mathbb{B}\mathcal{C}$  is **contractible**.
- ▶ We would be working with exact categories  $\mathcal{E}$ , which has a zero. So,  $\mathbb{B}\mathcal{E}$  would be contractible, we would **get nothing**, unless we do some more work.

## Ch. 5. Theorem A, B

**The Plan:** Given a functor  $F : \mathcal{C} \longrightarrow \mathcal{D}$ ,  
and an object  $Y \in \mathcal{D}$ .

Let  $F^{-1}Y = \{X \in \mathcal{C} : FX = Y\}$  be the fibre.

Then, we have a sequence  $F^{-1}Y \longrightarrow \mathcal{C} \xrightarrow{F} \mathcal{D}$

We would like to write down long exact sequences

$$\pi_n(F^{-1}Y, X_0) \longrightarrow \pi_n(\mathcal{C}, X_0) \longrightarrow \pi_n(\mathcal{D}, Y) \longrightarrow \pi_{n-1}(F^{-1}Y, X_0)$$

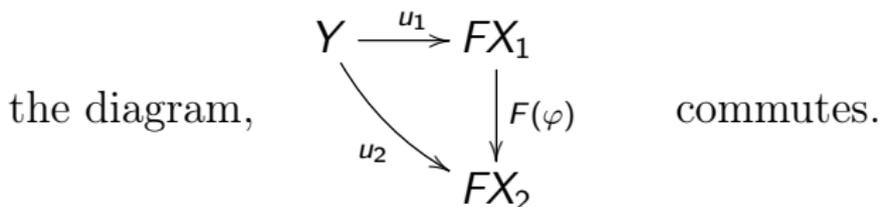
In topology also, you cannot do it, without further structure.

For a scheme  $X$  and a closed subschemes  $Z \subseteq X$ ,  $U = X - Z$ ,  
we would like to have a **long exact sequences** of  $K$ -groups.

## Ch. 5. Theorem A, B

**Definition:** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. For  $Y \in \text{Obj}(\mathcal{D})$ . Define the category  $Y/F$  as follows:

$$\begin{cases} \text{Obj } Y/F = \{(X, u) : X \in \text{Obj } \mathcal{C}, u : Y \rightarrow F(X)\} \\ \text{Mor}_{Y/F}((X_1, u_1), (X_2, u_2)) = \{\varphi : \text{as follows}\} \end{cases}$$



In fact,,  $Y/F$  is exact **analogue** of **homotopy fibres**, in topology, of  $F$ , at  $Y$ .

# Ch. 5. Theorem A, B

Further, given  $v : Y \rightarrow Z$ , there is a functor

$$v^* : Z/F \rightarrow Y/F \quad \text{sending} \quad (X, u) \mapsto (X, uv)$$

Dually, we can define the category  $F/Y$ .

# Ch. 5. Theorem A

**Theorem A:** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor.

- ▶ Assume  $Y/F$  is **contractible**,  $\forall Y \in \text{Obj}(\mathcal{D})$ .  
Then,  $F$  is a **homotopy equivalence**.
- ▶ *There is also a dual version of the theorem, by replacing  $Y/F$  by  $F/Y$ .*

# Ch. 5. Theorem B

**Theorem B:** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor.

Assume the functors

$$v^* : Z/F \rightarrow Y/F \quad \text{are homotopy equivalences} \quad \forall v$$

Then,  $\forall Y \in \text{Obj}(\mathcal{D})$ , the commutative diagram

$$\star \cong \begin{array}{ccc} Y/F & \xrightarrow{j} & \mathcal{C} \\ \downarrow & & \downarrow F \\ Y/1_{\mathcal{D}} & \longrightarrow & \mathcal{D} \end{array} \quad \text{are homotopy cartesian} \quad (1)$$

## Ch. 5. Theorem B

So,  $\forall X \in \text{Obj}(\mathcal{C})$ ,  $FX = Y$ , there is a long exact sequence:

$$\longrightarrow \pi_{n+1}(\mathcal{D}, Y) \longrightarrow \pi_n(Y/F, \tilde{X}) \longrightarrow \pi_n(\mathcal{C}, X) \longrightarrow \pi_n(\mathcal{D}, Y)$$

where  $\tilde{X} := (X, 1_Y) \in \text{Obj}(Y/F)$ .

- ▶ The theorem admits a **dual formulation**, by replacing  $Y/F$  by  $F/Y$  etc., in the diagram (1).
- ▶ A version, replacing  $Y/F$  by the actual fibre  $F^{-1}Y$  is also available.

## Ch. 6. Quillen $K$ -theory

Suppose  $\mathcal{E}$  is a small exact category.

Define the category  $\mathbb{Q}\mathcal{E}$  as follows.

- ▶ First  $Obj(\mathbb{Q}\mathcal{E}) = Obj(\mathcal{E})$ .
- ▶ For  $X, Y \in Obj(\mathbb{Q}\mathcal{E})$ , a morphism  $X \rightarrow Y$  in  $\mathbb{Q}\mathcal{E}$ , is an **equivalence class** of pairs  $(p, i)$  of arrows in  $\mathcal{E}$ , as in the diagram:

$$X \xleftarrow{p} Z \xrightarrow{i} Y \ni \exists \text{ exact seq } \left\{ \begin{array}{ccc} K \hookrightarrow Z & \xrightarrow{p} & X \\ Z \xrightarrow{i} & Y & \twoheadrightarrow C \end{array} \right. \text{ in } \mathcal{E}. \quad (2)$$

# Ch. 6. Quillen $K$ -theory

- ▶ In alternate jargon,  $p$  is a **deflation** (admissible epi), and  $i$  is an **inflation** (admissible mono).
- ▶  $(p, i)$ ,  $(p', i')$  are defined to be equivalent, if

$$\exists \text{ an isomorphism } \tau, \ni \begin{array}{ccccc} X & \xleftarrow{p} & Z & \xrightarrow{i} & Y \\ \parallel & & \downarrow \tau & & \parallel \\ X & \xleftarrow{p'} & Z' & \xrightarrow{i'} & Y \end{array} \quad \text{commutes.}$$

Such an isomorphism  $\tau$  would be unique. A **morphism**  $X \rightarrow Y$  in  $\mathbb{Q}\mathcal{E}^{\mathcal{C}}$  is an equivalence class  $[(p, i)]$ .

A diagram, as in (2), will be denoted by  $(Z, p, i)$ .

## Ch. 6. Quillen $K$ -theory

- ▶ **(Compositions):** Given two morphisms  $X \rightarrow Y$  and  $Y \rightarrow Z$ , represented by

$X \xleftarrow{p} W \xrightarrow{i} Y, Y \xleftarrow{q} V \xrightarrow{j} Z$ , the composition is given by

$$\begin{array}{ccccc}
 U & \xrightarrow{i'} & V & \xrightarrow{j} & Z \\
 \downarrow q' & & \downarrow q & & \\
 X & \xleftarrow{p} & W & \xrightarrow{i} & Y
 \end{array}
 \quad \left\{ \begin{array}{l} \text{where } U = V \times_Y W \\ \text{is the pullback.} \end{array} \right.$$

(3)

## Ch. 6. Quillen $K$ -theory

**Example:** If  $\mathcal{C} = \mathcal{P}(A)$  is the category of finitely generated projective  $A$  modules, then a morphism  $X \rightarrow Y$  is a decomposition  $Y = Z \oplus K \oplus C$ :

$$X \leftarrow X \oplus K \hookrightarrow X \oplus K \oplus C$$

# Ch. 6. Quillen $K$ -theory

There is no natural functor from  $\mathcal{E}$  to  $\mathbb{Q}\mathcal{E}$ . However,

$$\left\{ \begin{array}{l} \forall \iota : X \hookrightarrow Y \text{ inflations, associate } \iota_! := \\ \forall p : Y \twoheadrightarrow X \text{ deflations, associate } p^! := \end{array} \right. \quad \begin{array}{c} X \xleftarrow{1_X} X \xrightarrow{\iota} Y \\ X \xleftarrow{p} Y \xrightarrow{1_Y} Y \end{array}$$



## Ch. 6. Quillen $K$ -theory

These define, **two paths**  $0 \mapsto X$  in  $\mathbb{B}(\mathbb{Q}\mathcal{E})$ :

$$\gamma_0^X := \gamma(0, 0, 0_X), \quad \gamma_1^X := \gamma(X, 0, 1_X)$$

So,  $\ell_X := \bar{\gamma}_0^X \gamma_1^X : 0 \begin{array}{c} \xrightarrow{\gamma_0^X} \\ \xrightarrow{\gamma_1^X} \end{array} X$  is a loop at 0

Define,  $\varphi : K_0^c(\mathcal{E}) \longrightarrow \pi_1(\mathbb{B}\mathbb{Q}\mathcal{E}, 0)$  by  $\varphi(X) = [\ell_X]$

## Ch. 6. Higher $K$ -groups

**Definition:** Let  $\mathcal{E}$  be an exact category.

$$\begin{cases} \text{Note } \pi_0(\mathbb{B}(\mathbb{Q}\mathcal{E}), 0) = 0. & \text{Define,} \\ K_n(\mathcal{E}) := \pi_{n+1}(\mathbb{B}(\mathbb{Q}\mathcal{E}), 0) \end{cases}$$

We can also define the  **$K$ -theory space**

$\mathbf{K}\mathcal{E} = \Omega(\mathbb{B}(\mathbb{Q}\mathcal{E}), 0)$ , the **loop space**. Then,  $K_n(\mathcal{E}) := \pi_n(\mathbf{K}\mathcal{E})$

## Ch. 6. Higher $K$ -groups

- ▶ Classically, three groups  $K_0^c(R)$ ,  $K_1^c(R)$ ,  $K_2^c(R)$ , were defined. In chapter 7, we prove,

$$K_1^c(R) \cong K_1(\mathcal{P}(R)), \text{ where } R \text{ is a commutative ring.}$$

I skipped, plus construction or homology theory.

## Ch. 6. Higher $K$ -groups

**Lemma** Let  $F : \mathcal{E} \rightarrow \mathcal{D}$  be an exact sequence of exact functors. Then,  $F$  induces natural maps and homomorphisms

$$\left\{ \begin{array}{l} K_n \mathcal{E} \rightarrow K_n \mathcal{D} \quad \text{homomorphisms } \forall n \geq 0 \\ \mathbb{B}Q\mathcal{E} \rightarrow \mathbb{B}Q\mathcal{D} \quad \text{continuous map} \\ \mathbf{K}\mathcal{E} \rightarrow \mathbf{K}\mathcal{D} \quad \text{continuous map} \end{array} \right.$$

In a sense, these three are equivalent.

## Ch. 6. Higher $K$ -groups

**Additivity Theorem:** Let

$G, F, H : \mathcal{E} \longrightarrow \mathcal{D}$  be exact functors

of exact categories, such that

$$0 \longrightarrow G \longrightarrow F \longrightarrow H \longrightarrow 0 \quad \text{is also exact.} \quad (4)$$

Then,

$$\forall n \geq 0 \quad F_* = G_* + H_* : K_n(\mathcal{E}) \longrightarrow K_n(\mathcal{D})$$

## Ch. 6. Higher $K$ -groups

**Example:** Let  $R$  be a commutative ring and  $P = P_1 \oplus P_2$  be a projective  $R$ -modules. Then

$$\left\{ \begin{array}{l} - \otimes P_1 \\ - \otimes P \\ - \otimes P_2 \end{array} : \text{Coh}(R) \longrightarrow \text{Coh}(R) \right. \text{ are exact.}$$

$0 \longrightarrow - \otimes P_1 \longrightarrow - \otimes P \longrightarrow - \otimes P_2 \longrightarrow 0$  is exact. So,  
 $(- \otimes P)_* = (- \otimes P_1)_* + (- \otimes P_2)_* : K_n(\text{Coh}(R)) \longrightarrow K_n(\text{Coh}(R))$

## Ch. 6. Higher $K$ -groups

- ▶ In particular, there is a  $K_0(R)$  action on  $K_n(\text{Coh}(R))$ :

$$K_0(R) \otimes K_n(\text{Coh}(R)) \longrightarrow K_n(\text{Coh}(R))$$

- ▶ This works for schemes  $X$ , and exact sequences

$$0 \longrightarrow P_1 \longrightarrow P \longrightarrow P_2 \longrightarrow 0 \quad \text{of locally free sheaves.}$$

## Ch. 6. Higher $K$ -groups

**Resolution Theorem:** Let  $\mathcal{E}$  be an exact category and  $\mathcal{P} \subseteq \mathcal{E}$  be a full subcategory. Assume

- ▶ For any exact sequence in  $\mathcal{E}$ :

$$0 \longrightarrow K \longrightarrow M \longrightarrow C \longrightarrow 0 \quad \left\{ \begin{array}{l} K, C \in \mathcal{P} \implies M \in \mathcal{P} \\ M, C \in \mathcal{P} \implies K \in \mathcal{P} \end{array} \right.$$

- ▶  $\forall M \in \text{Obj}(\mathcal{E})$ , there is a finite resolution, with  $P_i$  in  $\mathcal{P}$ :

$$0 \longrightarrow P_n \longrightarrow \dots \longrightarrow P_1 \longrightarrow P_0 \longrightarrow M \longrightarrow 0$$

Sometimes these are called **resolving categories**.

# Ch. 6. Higher $K$ -groups

Then,  $\left\{ \begin{array}{l} \forall n \geq 0, \quad K_n(\mathcal{P}) \xrightarrow{\sim} K_n(\mathcal{E}) \quad \text{are isomorphisms.} \\ \mathbb{B}Q\mathcal{P} \longrightarrow \mathbb{B}Q\mathcal{E} \quad \text{is a homotopy equivalence.} \\ \mathbf{K}\mathcal{P} \longrightarrow \mathbf{K}\mathcal{E} \quad \text{is a homotopy equivalence.} \end{array} \right.$

In fact, these three are equivalent statements.

## Ch. 6. Higher $K$ -groups

**Example:** Let  $R$  be a commutative ring. Let  $\mathcal{P}(R)$  be the category of projective  $R$ -modules, and  $\mathbb{H}(R)$  be the category of  $M \in \text{Coh}(R)$  with **finite projective dimension**. Then,

$$K_n(\mathcal{P}(R)) \xrightarrow{\sim} K_n(\mathbb{H}(R))$$

This works for schemes  $X$ , and locally free sheaves.

## Ch. 6. Higher $K$ -groups

**Dévissage Theorem:** Let  $\mathcal{A}$  be an abelian category.

Let  $\mathcal{B} \subseteq \mathcal{A}$  be a full subcategory, such that

( $\star$ )  $\mathcal{B}$  is closed under

subobjects, quotient objects and finite product in  $\mathcal{A}$ .

In this case,  $\mathcal{B}$  is an abelian subcategory.

Assume, every object  $M \in \text{Obj}(\mathcal{A})$  has a filtration:

$$0 = M_0 \hookrightarrow M_1 \hookrightarrow \cdots \hookrightarrow M_r =: M \quad \frac{M_j}{M_{j-1}} \in \text{Obj}(\mathcal{B}) \quad \forall j.$$

Abstract

Four Chapters on Background  
Classifying spaces and Quillen  $K$

**Expected Theorems begins**

Agreement

$K$ -Theory of rings

$K$ -theory of schemes

Ch. 10. Projective bundle theorem of  $K$ -theory

Ch. 11. Swan's work on spheres

Additivity Theorem

Resolution Theorem

**Dévissage**

Localization Theorem

## Ch. 6. Higher $K$ -groups

Then,  $\left\{ \begin{array}{l} \forall n \geq 0, \quad K_n(\mathcal{B}) \xrightarrow{\sim} K_n(\mathcal{A}) \quad \text{are isomorphisms.} \\ \mathbb{B}Q\mathcal{B} \longrightarrow \mathbb{B}Q\mathcal{A} \quad \text{is a homotopy equivalence.} \\ \mathbf{K}\mathcal{B} \longrightarrow \mathbf{K}\mathcal{A} \quad \text{is a homotopy equivalence.} \end{array} \right.$

Abstract

Four Chapters on Background

Classifying spaces and Quillen  $K$

Expected Theorems begins

Agreement

$K$ -Theory of rings

$K$ -theory of schemes

Ch. 10. Projective bundle theorem of  $K$ -theory

Ch. 11. Swan's work on spheres

Additivity Theorem

Resolution Theorem

Dévissage

Localization Theorem

## Ch. 6. Higher $K$ -groups

**Example:** Let  $R$  be a commutative ring. Let  $R_{red} = \frac{R}{\sqrt{0}}$ .

Then, 
$$K_n(\text{Coh}(R_{red})) \cong K_n(\text{Coh}(R))$$

Note, usually,  $K_1(\mathcal{P}(R_{red})) \neq K_1(\mathcal{P}(R))$ .

This works for schemes  $X$ .

Abstract  
Four Chapters on Background  
Classifying spaces and Quillen  $K$   
Expected Theorems begins  
Agreement  
 $K$ -Theory of rings  
 $K$ -theory of schemes  
Ch. 10. Projective bundle theorem of  $K$ -theory  
Ch. 11. Swan's work on spheres

Additivity Theorem  
Resolution Theorem  
Dévissage  
Localization Theorem

## Ch. 6. Higher $K$ -groups

**Definition:** Let  $\mathcal{A}$  be an abelian category. A full subcategory  $\mathcal{B} \subseteq \mathcal{A}$  is defined to be a **Serre subcategory**, if, for any exact sequence in  $\mathcal{A}$ :

$$0 \longrightarrow K \longrightarrow M \longrightarrow C \longrightarrow 0 \quad M \in \mathcal{B} \iff K, C \in \mathcal{B}$$

In this case, the **quotient category**  $\frac{\mathcal{A}}{\mathcal{B}}$  is defined, and

## Ch. 6. Higher $K$ -groups

**Localization Theorem:** Let  $\mathcal{A}$  be an abelian category and  $\mathcal{B} \subseteq \mathcal{A}$  be a **Serre subcategory**. Then, the sequence

$$\mathcal{B} \xrightarrow{\iota} \mathcal{A} \xrightarrow{q} \frac{\mathcal{A}}{\mathcal{B}} \quad \text{is a homotopy fibration.}$$

Consequently, there is an exact sequence

$$\cdots \xrightarrow{q_*} K_1\left(\frac{\mathcal{A}}{\mathcal{B}}\right) \longrightarrow K_0(\mathcal{B}) \xrightarrow{\iota_*} K_0(\mathcal{A}) \xrightarrow{q_*} K_0\left(\frac{\mathcal{A}}{\mathcal{B}}\right) \longrightarrow 0$$

## Ch. 6. Higher $K$ -groups

**Example:** Let  $X$  be a noetherian scheme and  $Z \hookrightarrow X$  be a closed subset and  $U = X - Z$ . Then, we have exact sequences

$$\dots \longrightarrow \xrightarrow{q_*} K_{n+1}(\text{Coh}(U)) \longrightarrow$$

$$K_n(\text{Coh}_Z(X)) \xrightarrow{l_*} K_n(\text{Coh}(X)) \xrightarrow{q_*} K_n(\text{Coh}(U)) \longrightarrow \dots$$

$$\begin{array}{c} \wedge \\ | \wr \\ | \end{array}$$

$$K_n(\text{Coh}(Z_{\text{red}}))$$

Abstract

Four Chapters on Background

Classifying spaces and Quillen  $K$

**Expected Theorems begins**

Agreement

$K$ -Theory of rings

$K$ -theory of schemes

Ch. 10. Projective bundle theorem of  $K$ -theory

Ch. 11. Swan's work on spheres

Additivity Theorem

Resolution Theorem

Dévissage

**Localization Theorem**

## Ch. 6. Higher $K$ -groups

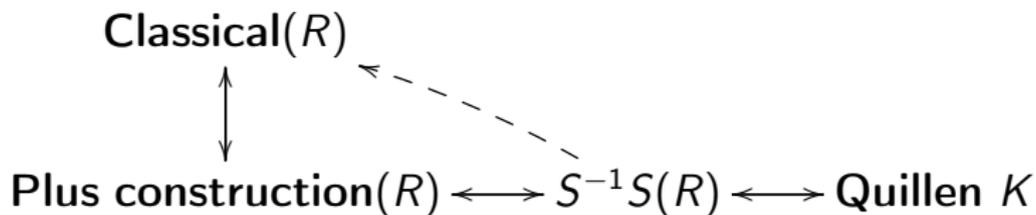
Here  $Coh_Z(X) \subseteq Coh(X)$  is full subcategory of objects  $\mathcal{F} \in Coh(X)$ , with support in  $Z$ .

The vertical isomorphism is given by Dévissage, above.

# Ch. 7. Agreement

Already mentioned above  $K_1^c(R) \cong K_1(R)$

Consider a **symmetric monoidal category**  $(\mathcal{S}, \odot, \mathbf{e})$ , where  $\odot$  represents direct sum, and  $\mathbf{e}$  the zero. There is a so called  **$\mathcal{S}^{-1}\mathcal{S}$**  category. This relates to both Quillen  $K$ -theory and Plus-construction.



## Ch. 8. $K$ -Theory of rings

Now on, given a ring  $R$  (usually commutative),  
 $Coh(A)$  = the category of finitely generated  $A$ -modules  
 $\mathcal{P}(A)$  = the category of finitely generated projective  
 $A$ -modules.  
The  $K$ -theory of  $Coh(A)$  is referred to as  $G$ -theory, and

$$\begin{cases} G_n(A) := K_n(Coh(A)) \\ K_n(A) := K_n(\mathcal{P}(A)) \end{cases}$$

## Ch. 8. $K$ -Theory of rings

Main theorem in this section is the **Homotopy invariance**:

- ▶ **Theorem:** Let  $A$  be a noetherian ring and  $B = A[T]$  be the polynomial ring. Then,

$$\begin{cases} \mathbb{B}QCoh(A) \longrightarrow \mathbb{B}QCoh(B) & \text{is a homotopy equivalence} \\ G_n(A) \xrightarrow{\sim} G_n(B) & \text{are isomorphisms } n \geq 0 \end{cases}$$

- ▶ **Corollary:** Let  $A$  be a noetherian **regular** ring and  $B = A[T]$  be the polynomial ring. Then,

$$K_n(A) \xrightarrow{\sim} K_n(B) \quad \text{are isomorphisms } n \geq 0$$

## Ch. 8. Bass-Quillen Conjecture, naturalized

### Naturalized Bass-Quillen Conjecture:

Suppose  $A$  is a regular affine algebra over a (perfect) field.  
Let  $P$  be a  $A[T]$ -module, and  $\bar{P} = \frac{P}{TP}$ .

$\left\{ \begin{array}{l} \text{Is there a natural isomorphism } P \xrightarrow{\sim} \bar{P} \otimes A[T]? \\ \text{Or, Is there a natural transformation } P \longrightarrow \bar{P} \otimes A[T] \\ \text{in } \mathcal{QP}(A[T])? \end{array} \right.$

If yes, the above corollary would have more natural proof.

## Ch. 9. $K$ -Theory of schemes

**Scheme theory is part of commutative algebra.**

For a scheme  $X$  (usually noetherian),

$\text{Coh}(X)$  = the category of coherent  $\mathcal{O}_X$ -modules

$\mathcal{P}(X)$  = the category of locally free  $X$ -modules.

The  $K$ -theory of  $\text{Coh}(X)$  is referred to as  $G$ -theory, and

$$\begin{cases} G_n(X) := K_n(\text{Coh}(X)) & \mathbf{G}(X) = \Omega(\mathbb{B}Q\text{Coh}(X)) \\ K_n(X) := K_n(\mathcal{P}(X)) & \mathbf{K}(X) = \Omega(\mathbb{B}Q\mathcal{P}(X)) \end{cases}$$

Two columns basically have the **equivalent information.**

## Ch. 9. $K$ -Theory of schemes

The functor  $\mathcal{P}(X) \rightarrow \text{Coh}(X)$  induces maps

$$\begin{cases} K_n(X) \rightarrow G_n(X) & \forall n \geq 0 \\ \mathbb{B}Q\mathcal{P}(X) \rightarrow \mathbb{B}Q\text{Coh}(X) \\ \mathbf{K}(X) \rightarrow \mathbf{G}(X) \end{cases}$$

**Theorem:** If  $X$  is regular and separated, then

$$\begin{cases} K_n(X) \rightarrow G_n(X) & \text{are isomorphisms } \forall n \geq 0 \\ \mathbb{B}Q\mathcal{P}(X) \rightarrow \mathbb{B}Q\text{Coh}(X) & \text{is homotopy equivalence} \\ \mathbf{K}(X) \rightarrow \mathbf{G}(X) & \text{is homotopy equivalence} \end{cases}$$

**Proof.** Follows from resolution theorem.

## Ch. 9. Pullback : $G$ -Theory

**Defintion:** Let  $f : X \longrightarrow Y$  be a map of schemes.  
Then,  $f$  induces a functor

$$f^* : \text{Coh}(Y) \longrightarrow \text{Coh}(X) \quad \text{sending} \quad \mathcal{F} \mapsto f^* \mathcal{F} \quad (5)$$

Usually, this is not exact.

## Ch. 9. Pullback : $G$ -Theory

- ▶ The restriction  $f^* : \mathcal{P}(Y) \longrightarrow \mathcal{P}(X)$  is exact.  
So, it induces maps

$$\begin{cases} f^* : \mathbf{K}(Y) \longrightarrow \mathbf{K}(X) & \text{of } K\text{-theory spaces,} \\ f^* : K_n(Y) \longrightarrow K_n(X) & \text{of } K\text{-groups } \forall n \geq 0. \end{cases}$$

- ▶ If  $f$  is flat, (5) is an exact functor. So, it induces maps

$$\begin{cases} f^* : \mathbf{G}(Y) \longrightarrow \mathbf{G}(X) & \text{of } G\text{-theory spaces,} \\ f^* : G_n(Y) \longrightarrow G_n(X) & \text{of } G\text{-groups } \forall n \geq 0. \end{cases} \quad (6)$$

We can do better!

## Ch. 9. Pullback : $G$ -Theory

**Lemma:** Let  $f : X \rightarrow Y$  be a morphism of noetherian schemes. Assume  $Y$  has enough locally free sheaves and  $f$  has **finite Tor dimension**, meaning

$$\sup \{ k : \text{Tor}_k^Y(\mathcal{F}, \mathcal{O}_X) \neq 0 \text{ for some } \mathcal{F} \in \text{QCoh}(Y) \} < \infty$$

Define the full subcategory of  $\text{Coh}(Y)$ , as follows

$$\mathfrak{Coh}(f, Y) = \{ \mathcal{F} \in \text{Coh}(Y) : \text{Tor}_k^Y(\mathcal{F}, \mathcal{O}_X) = 0 \forall k \geq 1 \} \quad (7)$$

Then, the restriction

$$f^* : \mathfrak{Coh}(f, Y) \rightarrow \text{Coh}(X) \quad \text{is an exact functor.} \quad (8)$$

## Ch. 9. Pullback : $G$ -Theory

So, this induces

$$\begin{cases} \mathbf{K}(\mathcal{C}oh(f, Y)) \longrightarrow \mathbf{G}(X) & \text{map of } K\text{-theory spaces} \\ K_n(\mathcal{C}oh(f, Y)) \longrightarrow G_n(X) & \text{homomorphisms of } K\text{-groups } \forall n \end{cases}$$

Further, every  $\mathcal{F} \in \mathcal{C}oh(Y)$  has a finite resolution by objects in  $\mathcal{C}oh(f, Y)$ . By **resolution theorem**, we have

$$\begin{cases} \mathbf{K}(\mathcal{C}oh(f, Y)) \xrightarrow{\sim} \mathbf{G}(Y) & \text{homotopy equivalence of } K\text{-theory} \\ K_n(\mathcal{C}oh(f, Y)) \xrightarrow{\sim} G_n(Y) & \text{isomorphisms of } K\text{-groups } \forall n \geq 0 \end{cases} \quad (9)$$

Combining this with (9),

## Ch. 9. Pullback : $G$ -Theory

we obtain map **Pullback maps**

$$f^* : \begin{cases} G_n(Y) \xleftarrow{\sim} K_n(\mathcal{C}oh(f, Y)) \longrightarrow G_n(X) \\ \mathbf{G}(Y) \xleftarrow{\sim} \mathbf{K}(\mathcal{C}oh(f, Y)) \longrightarrow \mathbf{G}(X) \end{cases}$$

## Ch. 9. Push Forward : $G$ -Theory

- ▶ For simplicity, consider  $f : \text{Spec}(B) \longrightarrow \text{Spec}(A)$ . Given  $M \in \text{Coh}(B)$ , it is not necessary that  $M \in \text{Coh}(A)$ . So, for  $f : X \longrightarrow Y$ , defining push forward

$$f_* : G_n(X) \longrightarrow G_n(Y) \quad \text{would require some work.}$$

- ▶ However, if  $f : X \longrightarrow Y$  is a **projective morphism**, then

$$\forall \mathcal{F} \in \text{Coh}(X) \quad \text{then,} \quad R^k f_* \mathcal{F} \in \text{Coh}(Y) \quad \forall k$$

where  $R^k f_* \mathcal{F}$  denote the **higher direct images**,  
with  $R^0 f_* \mathcal{F} = f_* \mathcal{F}$ .

# Ch. 9. Push Forward : $G$ -Theory

**What is a projective morphism?** We say  $f : X \rightarrow Y$  is a projective morphism, if it factors as

$$\begin{array}{ccc} X \hookrightarrow & \xrightarrow{\text{closed}} & \mathbb{P}_{\mathbb{Z}}^n \times_{\mathbb{Z}} Y \\ & \searrow f & \downarrow \\ & & Y \end{array}$$

If  $Y = \text{Spec}(A)$  it is :

$$\begin{array}{ccc} X \hookrightarrow & \xrightarrow{\text{closed}} & \text{Proj}(A[T_0, T_1, \dots, T_n]) \\ & \searrow f & \downarrow \\ & & \text{Spec}(A) \end{array}$$

## Ch. 9. Push Forward : $G$ -Theory

### What is higher direct images $R^k \mathcal{F}$ ?

Let  $f : X \rightarrow Y$  be a morphism noetherian schemes. Given  $\mathcal{F} \in \text{QCoh}(X)$ , consider a injective resolution:

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}_0 \rightarrow \mathcal{I}_1 \rightarrow \mathcal{I}_2 \rightarrow \cdots \quad \text{denoted by } \mathcal{I}_\bullet \quad (10)$$

Apply (1) the global section  $\Gamma(X, -)$  and (2) direct image functor  $f_*$  functor:

$$\begin{cases} 0 \rightarrow \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{I}_0) \rightarrow \Gamma(X, \mathcal{I}_1) \rightarrow \cdots \\ 0 \rightarrow f_* \mathcal{F} \rightarrow f_* \mathcal{I}_0 \rightarrow f_* \mathcal{I}_1 \rightarrow f_* \mathcal{I}_2 \rightarrow \cdots \end{cases} \quad (11)$$

## Ch. 9. Push Forward : $G$ -Theory

For integers  $k \geq 0$ , define the following:

Define the **sheaf cohomology**,

$$\left\{ \begin{array}{ll} H^k(X, \mathcal{F}) = H^k(\Gamma(X, \mathcal{I}_\bullet)) & \text{sheaf cohomology} \\ R^k f_* \mathcal{F} = \mathcal{H}^k(f_* \mathcal{I}_\bullet) \in QCoh(Y) & \text{higher direct image} \end{array} \right. \quad (12)$$

As usual, given an exact sequence

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow 0 \quad \text{in} \quad QCoh(X) \quad (13)$$

## Ch. 9. Push Forward : $G$ -Theory

there is a connecting morphism  $\partial^k : R^k f_* \mathcal{G} \longrightarrow R^{k+1} f_* \mathcal{K}$  such that we obtain a long exact sequence

$$\dots \longrightarrow R^k f_* \mathcal{K} \longrightarrow R^k f_* \mathcal{F} \longrightarrow R^k f_* \mathcal{G} \xrightarrow{\partial^k} R^{k+1} f_* \mathcal{K} \longrightarrow \dots \quad (14)$$

starting at degree zero.

# Ch. 9. Push Forward : $G$ -Theory

I did the following:

- ▶ For a morphism  $f : X \rightarrow Y$ , and  $\mathcal{F} \in \text{QCoh}(X)$ , defined  $R^k f_* \mathcal{F} \in \text{QCoh}(Y)$ , with  $R^0 f_* \mathcal{F} = f_* \mathcal{F}$ .
- ▶ I defined **projective morphisms**  $f : X \rightarrow Y$ .

**Lemma:** Let  $f : X \rightarrow Y$  be a projective morphism.

Then, for  $\mathcal{F} \in \text{Coh}(X)$ , we have

- (1)  $R^k f_* \mathcal{F} \in \text{Coh}(Y)$
- (2)  $R^k f_* \mathcal{F} = 0 \quad \forall k \gg 0$
- (3)  $\exists n_0$ , such that  $\forall n \geq n_0$ ,  $R^k f_* \mathcal{F}(n) = 0, \quad \forall k \geq 1$ .

## Ch. 9. Push Forward : $G$ -Theory

We define push forward:

**Definition:** Let  $f : X \rightarrow Y$  be a **projective morphism** of noetherian schemes. Consider the direct image functor

$$f_* : \text{Coh}(X) \rightarrow \text{Coh}(Y), \quad \text{which is not necessarily exact.}$$

Consider the full subcategory of  $\text{Coh}(X)$ , as follows

$$\mathcal{Coh}(X, f) = \{ \mathcal{F} \in \text{Coh}(X) : R^k f_* \mathcal{F} = 0 \forall k \geq 1 \}$$

The restriction  $f_* : \mathcal{Coh}(X, f) \rightarrow \text{Coh}(Y)$ , is exact

## Ch. 9. Push Forward : $G$ -Theory

Consequently, there are maps

$$\begin{cases} \mathbf{K}\mathcal{C}oh(X, f) \longrightarrow \mathbf{G}(Y) & \text{of } K\text{-theory spaces} \\ \mathbf{K}_n(\mathcal{C}oh(X, f)) \longrightarrow \mathbf{G}_n(Y) & \text{of the } K\text{-groups} \end{cases} \quad (15)$$

For  $\mathcal{F} \in Coh(X)$  there is a finite resolution in  $Coh(X)^{op}$ :

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{F}_0 \longrightarrow \dots \longrightarrow \mathcal{F}_d \longrightarrow 0 \quad \text{with } \mathcal{F}_k \in \mathcal{C}oh(X, f).$$

By **resolution theorem** applied to  $\mathcal{C}oh(f, X)^{op} \hookrightarrow Coh(X)^{op}$ ,  
 and we have, homotopy equivalence and isomorphisms:

$$\begin{cases} \mathbf{K}\mathcal{C}oh(X, f) = \mathbf{K}\mathcal{C}oh(X, f)^{op} \cong \mathbf{K}(Coh(X)^{op}) = \mathbf{G}(X) \\ \mathbf{K}_n\mathcal{C}oh(X, f) = \mathbf{K}_n(X, f)^{op} \cong \mathbf{K}_n(Coh(X)^{op}) = \mathbf{G}_n(X) \end{cases}$$

## Ch. 9. Push Forward : $G$ -Theory

Combining with (15), we have the **push forward** maps of  $K$ -theory spaces, and  $K$ -groups:

$$f_* : \begin{cases} \mathbf{G}(X) \xleftarrow{\sim} \mathbf{K}\mathcal{C}oh(X, f) \longrightarrow \mathbf{G}(Y) \\ G_n(X) \xleftarrow{\sim} K_n(\mathcal{C}oh(X, f)) \longrightarrow G_n(Y) \end{cases} \quad (16)$$

## Ch. 9. Projection Formula: $G$ -Theory

The following projection formula for  $G$ -theory.

**Theorem:** Let  $f : X \rightarrow Y$  be a **projective morphism** (proper) of noetherian schemes. Assume (1)  $f$  has finite Tor dimension and (2) both  $X, Y$  **support ample bundles**. Then,

- ▶ Recall  $K_0(X)$  has an action on  $G_n(X)$ .
- ▶ We have,

$$f_*(x \cdot f^* y) = f_*(x) \cdot y \in G_n(Y) \quad \forall x \in K_0 X, y \in G_n(Y)$$

# Ch. 9. Projection Formula: $G$ -Theory

So the diagram

$$\begin{array}{ccccc}
 K_0(X) \otimes G_n(Y) & \xrightarrow{1 \times f^*} & K_0(X) \otimes G_n(X) & \longrightarrow & G_n(X) \\
 \downarrow f_* \otimes 1 & & & & \downarrow f_* \\
 K_0(Y) \otimes G_n(Y) & \longrightarrow & & \longrightarrow & G_n(Y)
 \end{array}$$

commutes.

(17)

## Ch. 9. Projective Bundle: $G$ -Theory

**Theorem:** Let  $Y$  be a noetherian scheme and let  $\mathcal{E} \in \mathcal{P}(Y)$  be locally free sheaf with  $\text{rank}(\mathcal{E}) = r$ .

Write  $\mathbb{P}\mathcal{E} = \text{Proj}(\text{Sym}(\mathcal{E}))$ .

Let  $f : \mathbb{P}\mathcal{E} \rightarrow Y$  be the structure map.

Then, with notation  $\zeta = [\mathcal{O}(-1)] \in K_0(\mathbb{P}\mathcal{E})$ ,

we have an isomorphism

$$\varphi_X : G_n(Y)^r \xrightarrow{\sim} G_n(\mathbb{P}\mathcal{E}) \quad (x_0, x_1, \dots, x_{r-1}) \mapsto \sum_{k=0}^{r-1} \zeta^k \cdot f^* x_k \quad (18)$$

Abstract  
Four Chapters on Background  
Classifying spaces and Quillen  $K$   
Expected Theorems begins  
Agreement  
 $K$ -Theory of rings  
 **$K$ -theory of schemes**  
Ch. 10. Projective bundle theorem of  $K$ -theory  
Ch. 11. Swan's work on spheres

Preliminaries  
Pullback maps  
Push forward maps  
A projection Formula  
A Projective bundle theorem of  $G$ -theory  
**Filtration of support and Gersten complex**

# Ch. 9. Filtration of support and Gersten complex: $K$ -Theory of schemes

Skip

# Ch. 10. Projective Bundle Theorem: $K$ -Theory

**Theorem:** Let  $Y$  be a noetherian scheme and let  $\mathcal{E} \in \mathcal{P}(Y)$  be locally free sheaf with  $\text{rank}(\mathcal{E}) = r$ .

Write  $\mathbb{P}\mathcal{E} = \text{Proj}(\text{Sym}(\mathcal{E}))$ .

Let  $f : \mathbb{P}\mathcal{E} \rightarrow Y$  be the structure map.

Then, with notation  $\zeta = [\mathcal{O}(-1)] \in K_0(\mathbb{P}\mathcal{E})$ ,

we have an isomorphism

$$\varphi_Y : K_n(X)^r \xrightarrow{\sim} K_n(\mathbb{P}\mathcal{E}) \quad (x_0, x_1, \dots, x_{r-1}) \mapsto \sum_{k=0}^{r-1} \zeta^k \cdot f^* x_k \quad (19)$$

## Ch. 10. Projective Bundle Theorem: $K$ -Theory

- ▶ The statement of is exactly similar to the theorem on  $G$ -theory.
- ▶ The proof is much involved **scheme theoretically**.
- ▶ One main ingredient is construction of a **canonical resolution**, of **regular** locally free sheaves.
- ▶ Then use resolution theorem, **on a tight rope walk**.

## Ch. 11. $K$ -Theory of quadrics

Swan extended the projective bundle theorem to nonsingular quadric hypersurfaces

$$Y = \text{Proj} \left( \frac{R[X_0, X_1, \dots, X_n]}{(f)} \right)$$

This is used to compute  $K$ -theory of real and complex (affine) spheres  $\mathbb{S}^n = (\sum_{i=0}^n X_i^2 = 1)$ , by looking at the open subset

$$\mathbb{S}^n \cong (T = 1) \subseteq Y = \text{Proj} \left( \frac{R[X_0, X_1, \dots, X_n, T]}{(\sum_{i=0}^n X_i^2 - T^2)} \right)$$

## Ch. 11. $K$ -Theory of quadrics

Let  $q = \sum_{1 \leq i \leq j \leq n} a_{ij} X_i X_j$ . Then, it relates to the bilinear form

$$B(\mathbf{X}, \mathbf{Y}) = \mathbf{X}^t \begin{pmatrix} 2a_{11} & a_{12} & a_{13} & \cdots & a_{1n} \\ a_{12} & 2a_{22} & a_{23} & \cdots & a_{2n} \\ a_{13} & a_{23} & 2a_{33} & \cdots & a_{3n} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ a_{1n} & a_{2n} & a_{3n} & \cdots & 2a_{nn} \end{pmatrix} \mathbf{Y} \quad \text{where}$$

where  $\mathbf{X}, \mathbf{Y}$  are column matrices. So,

$$q(\mathbf{X}) = \frac{1}{2} B(\mathbf{X}, \mathbf{X})$$

## Ch. 11. $K$ -Theory of quadrics

**Definition.** Let  $R$  be a commutative ring, with  $1/2 \in R$ . By a quadratic  $R$ -module, we mean a pair  $(P, \varphi)$ , where  $P$  is a projective  $R$ -module and  $\varphi : P \rightarrow P^*$  is a symmetric linear map. This means,

$$\begin{array}{ccc}
 P & \xrightarrow{\varphi} & P^* \\
 \downarrow \wr & & \nearrow \varphi^* \\
 P^{**} & & 
 \end{array}
 \quad \text{commute.} \quad \left\{ \begin{array}{l} \text{Define } \mathfrak{q} : P \rightarrow R \\ \mathfrak{q}(x) = \frac{1}{2}\varphi(x)(x) \end{array} \right.$$

It is customary to say,  $(P, \mathfrak{q})$  is a quadratic  $R$ -module.

## Ch. 11. $K$ -Theory of quadrics

- ▶  $Sym(P^*) = \bigoplus_{n \geq 0} Sym_n(P^*)$  be the symmetric algebra.
- ▶ Let  $Quad(P)$  denote the module of all quadratic  $R$ -modules  $(P, q)$ . Then, there is bijection

$$Sym_2(P^*) \xrightarrow{\sim} Quad(P)$$

We denote the preimage of  $q$  by the same notation  $q$ . Let

$$S(q) = \frac{Sym(P^*)}{(q)} \quad \text{and} \quad X(q) = Proj(S(q))$$

- ▶ We say  $(P, q)$  is a **non degenerate**, if  $\varphi$  is an isomorphism.

## Ch. 11. $K$ -Theory of quadrics

- ▶ **Lemma:** Let  $R$  be a commutative ring, with  $1/2 \in R$ . Let  $(P, q)$  be a **non degenerate** quadratic  $R$ -modules. Then,  $X(q) \rightarrow \text{Spec}(R)$  is **smooth**.
- ▶ To work with the sphere, we would have

$$\left\{ \begin{array}{l} q_d = \sum_{i=0}^d X_i^2 \\ q_d^s = \sum_{i=0}^d X_i^2 - T^2 \end{array} \right. \quad \begin{array}{l} P = R^{d+1} \\ P = R^{d+2} \end{array} \quad \left| \begin{array}{l} \dim X(q_d) = d - 1 \\ \dim X(q_d^s) = d \end{array} \right.$$

## Ch. 11. $K$ -Theory of quadrics

**Theorem:** Let  $R$  be a commutative ring, with  $1/2 \in R$ .  
 Let  $(P, \mathfrak{q})$  be a **non degenerate** quadratic  $R$ -modules.

Assume  $\text{rank}(P) = d + 1$ .

We denote  $\mathfrak{q}^s = \mathfrak{q} - T^2$  on  $P \oplus R$ . In fact

$$\left\{ \begin{array}{l} (P \oplus R, \mathfrak{q}^s) = (P, \mathfrak{q}) \perp (R, -T^2), \\ X(\mathfrak{q}) = (T = 0) \subseteq X(\mathfrak{q}^s) \\ U := (T \neq 0) \cong \text{Spec}(A(\mathfrak{q})) \end{array} \right. \quad \begin{array}{l} \dim X(\mathfrak{q}^s) = d \\ \dim A(\mathfrak{q}) = d \end{array}$$

where  $A(\mathfrak{q}) = \frac{\text{Sym}(P^*)}{(\mathfrak{q} - 1)}$  the sphere

## Ch. 11. $K$ -Theory of quadrics

Assume  $R$  is regular. Then, we have long exact sequence

$$\begin{array}{ccccccc}
 \longrightarrow & K_n(X(\mathfrak{q})) & \xrightarrow{l_*} & K_n(X(\mathfrak{q}^s)) & \longrightarrow & K_n(A(\mathfrak{q})) & \longrightarrow & K_{n-1}(X(\mathfrak{q})) \\
 \\
 \longrightarrow & \dots & \longrightarrow & \dots & \longrightarrow & \dots & \longrightarrow & \dots \\
 \\
 \longrightarrow & K_0(X(\mathfrak{q})) & \longrightarrow & K_0(X(\mathfrak{q}^s)) & \longrightarrow & K_0(A(\mathfrak{q})) & \longrightarrow & 0
 \end{array}$$

## Ch. 11. $K$ -Theory of quadrics

Apply Swan's formula to :  $\begin{cases} X(q) \longrightarrow \text{Spec}(R) \\ X(q^s) \longrightarrow \text{Spec}(R) \end{cases}$

We have the vertical identifications:

$$\begin{array}{ccc}
 \bigoplus_{n=0}^{d-2} K_q(R) \oplus K_q^{gr}(C(q)) & & \bigoplus_{n=0}^{d-1} K_q(R) \oplus K_q^{gr}(C(q^s)) \\
 \downarrow \wr & & \downarrow \wr \\
 K_q(X(q)) & \xrightarrow{\quad L_* \quad} & K_q(\mathbb{H}(X(q^s)))
 \end{array}$$

## Ch. 11. $K$ -Theory of quadrics

Here  $C(q)$  denotes the **Clifford algebra** of  $(P, q)$ , which has a  $\mathbb{Z}_2$ -grading.

- ▶ Thus, we can write the above long exact sequence, in terms of  $K$ -groups of  $R$  and  $C(q)$ ,  $C(q^s)$ .
- ▶ In particular, we can compute the  $K_0(A(q))$  of the **affine spheres**.
- ▶ With  $R = \mathbb{R}$ , it leads to the result that  $K_0(A(q_d)) \cong KO(S^d)$ .

## Ch. 11. $K$ -Theory of quadrics

Further inspection, the above exact sequence reduces to:

**Corollary:** Assume  $R$  is regular. Then, there is an exact sequence,

$$\longrightarrow K_n^{gr}(C(\mathfrak{q})) \xrightarrow{(\beta, -\varepsilon)_*} K_n(R) \oplus K_n(C(\mathfrak{q})) \xrightarrow{(\rho_1, \rho_2)_*} K_n(A(\mathfrak{q}))$$

where  $K_n(C(\mathfrak{q})) = K_n(\mathcal{P}_r(C(\mathfrak{q})))$ , the  $K$ -groups of the category of right projective  $C(\mathfrak{q})$ -modules (ungraded).

## Ch. 11. $K$ -Theory of quadrics

We reinterpret the functors:

$$\left\{ \begin{array}{ll} \beta : \mathcal{P}_{r, \mathbb{Z}_2}(C(\mathfrak{q})) \longrightarrow \mathcal{P}(R) & \beta(M) = M_1 \\ \varepsilon : \mathcal{P}_{r, \mathbb{Z}_2}(C(\mathfrak{q})) \longrightarrow \mathcal{P}_r(C(\mathfrak{q})) & \varepsilon(M) = M \text{ (ungraded)} \\ \rho_1 : \mathcal{P}(R) \longrightarrow \mathcal{P}(R(\mathfrak{q})) & \rho_1(M) = M \otimes A(\mathfrak{q}) \\ \rho_2 : \mathcal{P}_r(C(\mathfrak{q})) \longrightarrow \mathcal{P}(R(\mathfrak{q})) & \rho_2(N) = \Gamma(U, \mathcal{L}_{d-1}(N)) \end{array} \right.$$