

# Complete Intersections 2016

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For a commutative ring  $A$  and a finitely generated  $A$ -module  $M$ , we denote

$$\mu(M) := \text{minimal number of generators of } M$$

## 1 Background and Main Results

We start with the following theorem of Mohan Kumar:

**Theorem 1.1.** [Mohan Kumar, [Mk]] Suppose  $A = R[X]$  is a polynomial ring over a noetherian commutative ring  $R$ . Suppose  $I$  is an ideal in  $A$  that **contains a monic polynomial**.

Assume,  $\mu\left(\frac{I}{I^2}\right) \geq \dim\left(\frac{A}{I}\right) + 2$  Then,  $\exists$  a surjective map  $P \twoheadrightarrow I$

where  $P$  is a projective  $A$ -module with  $\text{rank}(P) = \mu\left(\frac{I}{I^2}\right)$ .

In particular, suppose  $A = k[X_1, \dots, X_n]$  is a polynomial ring over a field  $k$  and  $I$  is an ideal in  $A$ .

Assume  $\mu\left(\frac{I}{I^2}\right) \geq \dim\left(\frac{A}{I}\right) + 2$  Then,  $\mu(I) = \mu\left(\frac{I}{I^2}\right)$

Subsequently, I proved the following:

**Theorem 1.2** (Mandal [M9]). Suppose  $A = R[X]$  is a polynomial ring over a noetherian commutative ring  $R$ . Suppose  $I$  is an ideal in  $A$  that **contains a monic polynomial**.

$$\text{Assume } \mu\left(\frac{I}{I^2}\right) \geq \dim(A/I) + 2 \quad \text{Then, } \mu(I) = \mu\left(\frac{I}{I^2}\right)$$

In deed, the following has been a companion to Murthy's original Complete Intersection Conjecture ([M, M8]):

**Conjecture 1.3.** Suppose  $A = R[X]$  is a polynomial ring over a noetherian commutative ring  $R$ . Suppose  $I$  is an ideal in  $A$  that **contains a monic polynomial**. Then,

$$\mu(I) = \mu\left(\frac{I}{I^2}\right)$$

Recall, Murthy's Complete Intersection Conjecture ([M, M8]) is the particular case of the same, when  $A = k[X_1, \dots, X_n]$  is a polynomial ring over a field  $k$ .

The following was proved in the recent past:

**Theorem 1.4** (Mandal [M5]). Let  $R$  be a regular ring containing an infinite field  $k$ , with  $1/2 \in k$ . Assume  $R$  is essentially smooth over  $k$  or  $k$  is perfect. Suppose  $A = R[X]$  is the polynomial ring and  $I$  is an ideal in  $A$  that **contains a monic polynomial**.

$$\text{Then, } \mu(I) = \mu(I/I^2)$$

In fact, any set of  $n$ -generators of  $I/I^2$  lifts to a set of generators of  $I$ , when  $n \geq 2$ .

In particular, Murthy's conjecture is settled, in most cases, as follows.

**Corollary 1.5** (Mandal). Suppose  $A = k[X_1, X_2, \dots, X_n]$  is a polynomial ring over an infinite field  $k$ , with  $1/2 \in k$ . Suppose  $I$  is an ideal in  $A$ .

$$\text{Then, } \mu(I) = \mu\left(\frac{I}{I^2}\right)$$

**Remark:** When  $k$  is infinite perfect, Fasel proved this result with significant contributions from me.

The weaker version of S. Abhyankar's epi-morphism conjecture [DG] follows from (1.4), as follows. This is significant, because as is indicated in [DG], very limited progress has been made on either version of Abhyankar's epi-morphism conjectures.

**Theorem 1.6** (Mandal). Let  $R$  be a regular ring over an infinite field  $k$ , with  $1/2 \in k$ . Assume  $R$  is essentially smooth over  $k$  or  $k$  is perfect. Suppose

$\varphi : R[X_1, X_2, \dots, X_n] \twoheadrightarrow R[Y_1, Y_2, \dots, Y_m]$  is an epimorphism of polynomial  $R$ -algebras and  $I = \ker(\varphi)$ . If  $n - m \geq \dim R + 1$ ,

$$\text{Then, } \mu(I) = \mu\left(\frac{I}{I^2}\right)$$

In particular, if  $R$  is local, then  $I$  is a complete intersection ideal.

The weaker version of S. Abhyankar's epi-morphism conjecture [DG] is settled affirmatively, for infinite fields  $k$ , with  $1/2 \in k$ , as follows.

**Corollary 1.7** (Mandal). Suppose  $k$  is an infinite field, with  $1/2 \in k$ . Suppose

$\varphi : k[X_1, X_2, \dots, X_n] \twoheadrightarrow k[Y_1, Y_2, \dots, Y_m]$  is an epimorphism of polynomial  $k$ -algebras and  $I = \ker(\varphi)$ .

$$\text{Then, } \mu(I) = \mu\left(\frac{I}{I^2}\right) = n - m$$

## 2 Homotopy and Monic

We start with Nori's Homotopy conjecture:

**Conjecture 2.1** (M. V. Nori). Suppose  $X = \text{Spec}(A)$  is a smooth affine scheme over a field  $k$  and  $P$  is a projective  $A$ -module of rank  $r$ . Suppose  $f_0 : P \twoheadrightarrow I_0$  is a surjective homomorphism, where  $I_0$  is an ideal of  $A$ . Now suppose,  $I \subseteq A[T]$  is an ideal in the polynomial ring  $A[T]$  such that  $I(0) = I_0$  and  $\varphi : P \otimes A[T] \twoheadrightarrow \frac{I}{I^2}$  is a surjective map, such that  $\varphi$  is compatible with  $f_0$ . Then, there is a surjective homomorphism  $\psi : P \otimes A[T] \twoheadrightarrow I$  such that  $\psi|_{T=0} = f_0$  and  $\psi$  lifts  $\varphi$ .

Homotopy is an age old concept, and we give the following definitions:

**Definition 2.2.** Suppose  $A$  is a commutative noetherian ring and  $P$  is a projective  $A$ -module and  $I$  is an ideal of  $A$ . A surjective homomorphism  $f : \frac{P}{IP} \twoheadrightarrow \frac{I}{I^2}$  would be called a  **$P$ -local orientation**.

Let  $f_0, f_1 : \frac{P}{I_1P} \twoheadrightarrow \frac{I_1}{I_1^2}$  be two  $P$  – local orientations.

We say that  $f_0$  is **(strictly) homotopic** to  $f_1$ , if there is a  $P[T]$ -local orientation

$$F : \frac{P[T]}{IP[T]} \twoheadrightarrow \frac{I}{I^2} \ni F(0) = f_0 \text{ and } F(1) = f_1$$

Consider the equivalence relation generated by strict homotopy.

We say,  $f_0$  is homotopic to  $f_1$ , if they are equivalent to each other.

A relaxed version of Nori's Homotopy conjecture 2.1 is the following:

**Conjecture 2.3.** Use the notations as in (2.3).

Suppose  $f_0, f_1 : \frac{P}{I_i P} \twoheadrightarrow \frac{I_i}{I_i^2}$  two  $P$  local orientations.

Assume  $f_0$  is (strictly) homotopic to  $f_1$ .

Suppose  $\exists$  surjective map  $\varphi_0 \ni$

$$\begin{array}{ccc} P & \xrightarrow{\varphi_0} & I_0 \\ \downarrow & & \downarrow \\ \frac{P}{I_0 P} & \xrightarrow{f_0} & \frac{I_0}{I_0^2} \end{array} \quad \text{commutes.}$$

Then, same is true about  $f_1$ .

**Remark.** We are asking whether such lifting property respects homotopy. If  $P = A^n$  is free in (2.3), we are talking about the property of lifting of generators of  $\frac{I_i}{I_i^2}$  to generators of  $I_i$ . When I arrived in Grenoble to visit the author of [F], in May 2015, he invited me to work with him to prove this case when  $P$  is free (2.3). I immediately told him that this is only a version of Nori's Homotopy conjecture, which he was not properly conversant with. The following were some of my immediate feedback:

1. Unless  $A$  is regular (i. e. unless Bass-Quillen works), existing methods would not apply. So, we focused on such regular rings.

2. Now suppose a noetherian commutative ring and  $I \subseteq A[T]$  is an ideal and

$$I = (f_1(T), f_2(T), \dots, f_n(T)) + I^2.$$

Then

$$\exists S(T) \in I \ni \sum_{i=1}^n f_i(T)g_i(T) + S(T)(S(T) - 1) = 0$$

In the context of variations of Nori's conjecture, the stumbling block had been that **we could not pick  $S(T) = s \in A$** , which I told him.

3. Then, because of my faith in the invisibility of monic polynomials, it did not take too long for me to figure out the following proposition.

**Proposition 2.4.** Suppose  $R = A[X]$  is a polynomial ring over a commutative ring  $A$  and  $I$  is an ideal that contains a monic polynomial. Suppose  $\omega : R^n \twoheadrightarrow I/I^2$  is a surjective homomorphism (*local orientation*). Then,  $\omega$  is (strictly) homotopic to  $A^n \twoheadrightarrow \frac{A}{A}$  given by  $(1, 0, \dots, 0)$ .

**Proof.** Postpone!

### 3 The Obstruction presheaf

There are skeptics and enthusiasts regarding  $\mathbb{A}^1$ -homotopy theory. Lately, I probed into it. However, I am convinced that there is a new way to look at things, while I m not competent to say if it really cracks anything. I understood that they try to look at everything as **functors or presheafs**, which has some advantages. That is why we would restructure the above definition of homotopy. First, we establish some notations that will be useful throughout this article.

**Notations 3.1.** Throughout,  $k$  will denote a field (or ring), with  $1/2 \in k$  and  $A, R$  will denote commutative noetherian rings. For a commutative ring  $A$  and a finitely generated  $A$ -module  $M$ , the minimal number of generators of  $M$  will be denoted by  $\mu(M)$ .



We denote

$$q_{2n+1} = \sum_{i=1}^n X_i Y_i + Z^2, \quad \tilde{q}_{2n+1} = \sum_{i=1}^n X_i Y_i + Z(Z-1).$$

Denote

$$Q_{2n} = \text{Spec}(\mathcal{A}_{2n}) \quad \text{where} \quad \mathcal{A}_{2n} = \frac{k[X_1, \dots, X_n, Y_1, \dots, Y_n, Z]}{(\tilde{q}_{2n+1})} \quad (1)$$

and

$$Q'_{2n} = \text{Spec}(\mathcal{B}_{2n}) \quad \text{where} \quad \mathcal{B}_{2n} = \frac{k[X_1, \dots, X_n, Y_1, \dots, Y_n, Z]}{(q_{2n+1} - 1)}. \quad (2)$$

There are inverse isomorphisms

$$\alpha : \mathcal{A}_{2n} \xrightarrow{\sim} \mathcal{B}_{2n} \quad \beta : \mathcal{B}_{2n} \xrightarrow{\sim} \mathcal{A}_{2n}$$

given by

$$\left\{ \begin{array}{l} \alpha(x_i) = \frac{x_i}{2} \quad 1 \leq i \leq n \\ \alpha(y_i) = \frac{y_i}{2} \quad 1 \leq i \leq n \\ \alpha(z) = \frac{z+1}{2} \end{array} \right. \quad \left\{ \begin{array}{l} \beta(x_i) = 2x_i \quad 1 \leq i \leq n \\ \beta(y_i) = 2y_i \quad 1 \leq i \leq n \\ \beta(z) = 2z - 1 \end{array} \right. \quad (3)$$

Therefore,  $Q_{2n} \cong Q'_{2n}$ .

**Definition 3.2.** The category of schemes over  $\text{Spec}(k)$  will be denoted by  $\underline{\text{Sch}}_k$ . Also,  $\underline{\text{Sets}}$  will denote the category of sets.

Given a scheme  $Y \in \underline{\text{Sch}}_k$ , the association  $X \mapsto \mathcal{H}om(X, Y)$  is a presheaf on  $\underline{\text{Sch}}_k$ . (**Recall, a presheaf is a contravariant functor.**)

**This presheaf is often identified with  $Y$ , itself.** So, in some literature one may write,  $Y$  for the presheaf  $\mathcal{H}om(-, Y)$  and  $Y(X) := \mathcal{H}om(X, Y)$ .

With such an approach, for  $X = \text{Spec}(A)$ , it follows immediately that,  $Q_{2n}(A)$  and  $Q'_{2n}(A)$  can be identified with the sets, as follows:

$$Q_{2n}(A) = \left\{ (f_1, \dots, f_n; g_1, \dots, g_n; s) \in A^{2n+1} : \sum_{i=1}^n f_i g_i + s(s-1) = 0 \right\}$$

$$Q'_{2n}(A) = \left\{ (f_1, \dots, f_n; g_1, \dots, g_n; s) \in A^{2n+1} : \sum_{i=1}^n f_i g_i + s^2 - 1 = 0 \right\}$$

The homotopy pre-sheaves are given by the pushout diagrams in Sets:

$$\begin{array}{ccc} Q_{2n}(A[T]) \xrightarrow{T=0} Q_{2n}(A) & & Q'_{2n}(A[T]) \xrightarrow{T=0} Q'_{2n}(A) \\ T=1 \downarrow & \text{and} & T=1 \downarrow \\ Q_{2n}(A) \longrightarrow \pi_0(Q_{2n})(A) & & Q'_{2n}(A) \longrightarrow \pi_0(Q'_{2n})(A) \end{array}$$

The isomorphism  $Q_{2n} \cong Q'_{2n}$ , induces a bijection  $\pi_0(Q_{2n})(A) \cong \pi_0(Q'_{2n})(A)$ .

For any ring  $A$  and

$\mathbf{v} = (f_1, \dots, f_n; g_1, \dots, g_n; s) \in Q_{2n}(A)$ , let  $I(\mathbf{v}) := (f_1, \dots, f_n, s)A$

Also, let  $\omega_{\mathbf{v}} : A^n \rightarrow \frac{I(\mathbf{v})}{I(\mathbf{v})^2}$  denote the surjective homomorphism defined by  $e_i \mapsto f_i + I^2$  where  $e_1, \dots, e_n$  is the standard basis of  $A^n$ .

**Definition 3.3.** Suppose  $A$  is a commutative ring and  $I$  is an ideal in  $A$ . For an integer  $n \geq 1$ , and a local  $A^n$ -local orientation,  $\omega : A^n \rightarrow I/I^2$ , would be called a **local  $n$ -orientation** of  $I$ .

Let  $\mathcal{O}(A, n) = \left\{ (I, \omega) : \omega : A^n \rightarrow \frac{I}{I^2} \text{ is a local } n\text{-orientation} \right\}$

For  $(I, \omega) \in \mathcal{O}(A, n)$ , write

$$\zeta(I, \omega) := [(f_1, \dots, f_n; g_1, \dots, g_n, s)] \in \pi_0(Q_{2n}(A))$$

where  $\sum_{i=1}^n f_i g_i + s(s-1) = 0$  for some  $g_1, \dots, g_n \in A$  and  $s \in I$ . Note,

$$(f_1, \dots, f_n; g_1, \dots, g_n; s) \in Q_{2n}(A).$$

It was established in [F, Theorem 2.0.7], that this association is well defined. We refer to  $\zeta(I, \omega)$ , as an **obstruction class**. Therefore, we have a commutative diagram

$$\begin{array}{ccc} Q_{2n}(A) & & \\ \eta \downarrow & \searrow \zeta & \\ \mathcal{O}(A, n) & \xrightarrow{\zeta} & \pi_0(Q_{2n}(A)) \end{array}$$

and  $\eta(\mathbf{v}) = (I(\mathbf{v}), \omega_{\mathbf{v}})$ . Note that we use the same notation  $\zeta$  for two set theoretic maps.

We comment

1. Note that  $Q_{2n}(A) \equiv \text{Hom}(A, Q_{2n})$  is a presheaf, while  $\mathcal{O}(n, A)$  is not. **This why  $Q_{2n}(A)$  wins**, and we want to work with it, instead of  $\mathcal{O}(n, A)$ .

2. We define  $\mathbf{u}, \mathbf{v} \in Q_{2n}(A)$  **homotopic**, if they have same images in  $\pi_0(Q_{2n})(A)$ .

Define  $\mathbf{u}, \mathbf{v} \in Q_{2n}(A)$  to be **strictly homotopic**, if

$$\exists F(T) \in Q_{2n}(A[T]) \ni F(0) = \mathbf{u}, F(1) = \mathbf{v}$$

**Proposition 3.4.** Suppose  $R = A[X]$  is a polynomial ring over a commutative ring  $A$  and  $I$  is an ideal that contains a monic polynomial. Suppose  $\omega : R^n \twoheadrightarrow I/I^2$  is a surjective homomorphism (*local orientation*). Then  $\zeta(I, \omega) = [\mathbf{0}] \in \pi_0(Q_{2n})(R)$ , where  $\mathbf{0} := (0, 0, \dots, 0, 0, \dots, 0) \in Q_{2n}(R)$ .

**Proof.** Let  $f_1, \dots, f_n \in I$  be a lift of  $\omega$ . Then,

$$I = (f_1, f_2, \dots, f_n) + I^2$$

We can assume that  $f_1$  is a monic polynomial, with even degree. Now, consider the transformation [M9]:

$$\varphi : A[X, T^{\pm 1}] \xrightarrow{\sim} A[X, T^{\pm 1}] \quad \text{by} \quad \begin{cases} \varphi(X) = X - T + T^{-1} \\ \varphi(T) = T \end{cases}$$

There is a commutative diagram

$$\begin{array}{ccc} A[X] & \xlongequal{\quad} & A[X] \\ \downarrow & & \uparrow_{T=1} \\ A[X, T^{\pm 1}] & \xrightarrow{\varphi} & A[X, T^{\pm 1}] \end{array}$$

Then,  $\varphi(f_1) = f_1(X - T + T^{-1})$  is doubly monic in  $T$ , meaning that its lowest and the highest degree terms have coefficients 1.

Let  $F_1(X, T) = T^{\deg f_1(X)}\varphi(f_1) \in A[X, T]$ . Then,  $F_1(X, 0) = 1$ . Also, for  $i = 2, \dots, n$  write  $F_i(X, T) = T^\delta\varphi(f_i)$ , for some integer  $\delta \gg 0$ , such that  $F_i(X, T) \in TA[X, T]$ . Therefore,  $F_i(X, 0) = 0$ . Now, write

$$\mathcal{I}' = \varphi(IA[X, T^{\pm 1}]) \quad \text{and} \quad \mathcal{I} := \mathcal{I}' \cap A[X, T].$$

Since  $\frac{A[X, T]}{\mathcal{I}} \xrightarrow{\sim} \frac{A[X, T^{\pm 1}]}{\mathcal{I}'}$ , it follows

$$\mathcal{I} = (F_1(X, T), \dots, F_n(X, T)) + \mathcal{I}^2.$$

Therefore, by Nakayama's Lemma, there is a  $S(X, T) \in \mathcal{I}$ , such that

$$(1 - S(X, T))\mathcal{I} \subseteq (F_1(X, T), F_2(X, T), \dots, F_n(X, T)).$$

and hence

$$\sum F_i(X, T)G_i(X, T) + S(X, T)(S(X, T) - 1) = 0$$

for some  $G_1, \dots, G_n \in A[X, T]$ . Write  $\psi(X, T) =$

$$(F_1(X, T), F_2(X, T), \dots, F_n(X, T); G_1(X, T), \dots, G_n(X, T); S(X, T))$$

Then,  $\psi(X, T) \in Q_{2n}(A[X, T])$  and  $\mathcal{I}|_{T=1} = I$ . Further,

$$\psi(X, 1) = (f_1, \dots, f_n; G_1(X, 1), \dots, G_n(X, 1); S(X, 1))$$

and

$$\psi(X, 0) = (1, 0, \dots, 0; G_1(X, 0), \dots, G_n(X, 0), S(X, 0)).$$

By [F, 2.0.10],  $\psi(X, 0) \sim \mathbf{0} \in Q_{2n}(R)$ . Hence,  $\psi(X, 1) \sim \mathbf{0} \in Q_{2n}(R)$ . Therefore,

$$\zeta(I, \omega) = [\psi(X, 1)] = [\mathbf{0}] \in \pi_0(Q_{2n}(R)).$$

The proof is complete. ■

**Remark 3.5.** *In the light of (3.4), our objective would be to prove if  $\mathbf{v} \in Q_{2n}(A)$  is homotopically trivial, then the corresponding local  $n$ -orientation*

$$\omega_{I_{\mathbf{v}}} : A^n \twoheadrightarrow \frac{I_{\mathbf{v}}}{I_{\mathbf{v}}^2} \quad \text{lifts to a surjection} \quad \begin{array}{ccc} A^n & \twoheadrightarrow & I_{\mathbf{v}} \\ & \searrow \omega_{I_{\mathbf{v}}} & \downarrow \\ & & \frac{I_{\mathbf{v}}}{I_{\mathbf{v}}^2} \end{array}$$

## 4 Homotopy and the lifting property

### 4.1 Elementary Orthogonal group and Lifting

Before we proceed, we define the action of  $EO(A, q_{2n+1})$  on  $Q_{2n}(A)$  and give another definition, for the convenience of subsequent discussions.

**Definition 4.1.** Fix a commutative ring  $A$ . As usual,  $EO(A, q_{2n+1})$  acts on  $A^{2n+1}$ , which restricts to an action on  $Q'_{2n}(A)$ . Using the correspondences

$$\alpha : Q_{2n}(A) \xrightarrow{\sim} Q'_{2n}(A), \quad \beta : Q'_{2n}(A) \xrightarrow{\sim} Q_{2n}(A)$$

define an action on  $Q_{2n}(A)$  as follows:

$$\forall \mathbf{v} \in Q_{2n}(A), M \in EO(A, q_{2n+1}) \quad \text{define } \mathbf{v} * M := \beta(\alpha(\mathbf{v})M)$$

This action is not given by the usual matrix multiplication. Five different classes of the generators of  $EO(q_{2n+1})(A)$  and their actions on  $Q_{2n}(A)$  are given in [F].



**Definition 4.2.** Let  $A$  be a commutative ring over  $k$ . Let  $\mathbf{v} \in Q_{2n}(A)$ . We write  $\mathbf{v} := (a_1, \dots, a_n; b_1, \dots, b_n; s)$ . For integers,  $r \geq 1$  we say that  $r$ -lifting property holds for  $\mathbf{v}$ , if

$$I(\mathbf{v}) = (a_1 + \mu_1 s^r, \dots, a_n + \mu_n s^r) \quad \text{for some } \mu_i \in A.$$

We say the lifting property holds for  $\mathbf{v}$ , if

$$I(\mathbf{v}) = (a_1 + \mu_1, \dots, a_n + \mu_n) \quad \text{for some } \mu_i \in I(\mathbf{v})^2.$$

Before we allude to the key result in [F, Corollary 3.2.6] (see (4.4)), we record the following homotopy lifting theorem, due to this author (unpublished), that was used crucially in the proof.

**Theorem 4.3** (Mandal). Let  $R$  be a regular ring containing a field  $k$ . Let

$H(T) := (f_1(T), \dots, f_n(T), g_1(T), \dots, g_n(T), s) \in Q_{2n}(R[T])$ , with  $s \in R$ .

Write  $a_i = f_i(0), b_i = g_i(0)$ . Write  $I(T) = (f_1(T), \dots, f_n(T), s)$ .

Also assume  $I(0) = (a_1, \dots, a_n)$ . Then,

$$I(T) = (F_1, \dots, F_n) \quad \ni \quad f_i - F_i \in s^2 R[T]$$

**Proof.** See [F, Lemma 3.1.2], communicated by myself. ■

**Theorem 4.4.** *Suppose  $A$  is a regular ring containing a field  $k$ , with  $1/2 \in k$ . Let  $n \geq 2$  be an integer. Let  $\mathbf{v} \in Q_{2n}(A)$  and  $M \in EO(A, q_{2n+1})$ . Then,  $\mathbf{v}$  has 2-lifting property if and only if  $\mathbf{v} * M$  has the 2-lifting property.*

**Proof.** We outline the proof in [F]. It would be enough to assume that  $M$  is a generator of  $EO(A, q_{2n+1})$ . There would be five cases to deal with, one for each type of generators of  $EO(A, q_{2n+1})$ , listed in [F, pp 3-4]. Only of them is nontrivial, that is of the case of generators of the type 4 (in the list [F, pp 3-4]). This case follows, mainly from Theorem 4.3 (see [F, Lemma 3.1.2]). In deed, I spotted the gap in the proof of (see [F, Lemma 3.1.2]), in the first version of [F] and communicated to the author of [F], what needs to be done to apply Theorem 4.3. ■

**Remark 4.5.** *Note, there is no mention of Homotopy in Theorem 4.4. We will show that homotopy relations reduces to the equivalences defined by the action of  $EO(q_{2n+1})$ .*

## 5 Homotopy and the action of $EO(q_{2n+1})$

The following is the quadratic analogue of the result of Ton Vorst [T, pp 507].

**Theorem 5.1.** *Suppose  $A$  is a regular ring containing a field  $k$ . Then,*

$$\forall \sigma(T) \in O(A[T], q_{2n+1}), \quad \sigma(0) = 1 \implies \sigma(T) \in EO(A[T], q_{2n+1}).$$

**Proof.** In the case when  $k$  is perfect, it follows from the theorem of Stavrova ([S, Theorem 1.3]) on REDUCTIVE groups. I reduce it to the perfect field case, using Popescu's theorem.

I remark that an elementary proof would be possible, without using Stavrova's theorem, exactly as the proof of Ton Vorst ([T, pp 507]). Someone needs to work it out.

**Theorem 5.2.** *Let  $A$  be an essentially smooth algebra over an infinite field  $k$ , with  $1/2 \in k$ . Then, for  $n \geq 2$ , the natural map*

$$\varphi : \frac{Q'_{2n}(A)}{EO(A, q_{2n+1})} \longrightarrow \pi_0(Q'_{2n})(A) \quad \text{is a bijection.}$$

**Proof.** See my paper. According to an expert on quadratic forms, this lemma is **standard**, which I am not surprised, because of the structure of the proof. ■

The following summarizes the final results on homotopy and lifting of generators (also see [F, Theorem 3.2.7]).

**Theorem 5.3** (Mandal). Suppose  $A$  is a regular ring containing an infinite field  $k$ , with  $1/2 \in k$ . Assume  $A$  is essentially smooth over  $k$  or  $k$  is perfect. Let  $n \geq 2$  be an integer. Denote  $\mathbf{0} := (0, \dots, 0; 0, \dots, 0; 0) \in Q_{2n}(A)$  and let  $\mathbf{v} \in Q_{2n}(A)$ . Then, the following conditions are equivalent:

1. The obstruction  $\zeta(I(\mathbf{v}, \omega_{\mathbf{v}})) = [\mathbf{0}] \in \pi_0(Q_{2n})(A)$ .
2.  $\mathbf{v}$  has 2-lifting property.
3.  $\mathbf{v}$  has the lifting property.
4.  $\mathbf{v}$  has  $r$ -lifting property,  $\forall r \geq 2$ .

**Proof.** It is clear, (2)  $\implies$  (3). To prove (3)  $\implies$  (1), suppose  $I(\mathbf{v}) = (a_1 + \mu_1, \dots, a_n + \mu_n)$ , with  $\mu_i \in I(\mathbf{v})^2$ . Write  $\mathbf{v}' = (a_1 + \mu_1, \dots, a_n + \mu_n; 0, \dots, 0; 0) \in Q_{2n}(A)$ . By [F, 2.0.10], we have  $\zeta(I(\mathbf{v}, \omega_{\mathbf{v}})) = \zeta(I(\mathbf{v}', \omega_{\mathbf{v}'})) = [v_0] \in \pi_0(Q_{2n})$ . This establishes, (3)  $\implies$  (1).

Now we prove (1)  $\implies$  (2). Assume  $\zeta(I(\mathbf{v}, \omega_{\mathbf{v}})) = [\mathbf{0}]$ . In case  $A$  is essentially finite over  $k$ , it follows from Theorem 5.2 that  $\mathbf{0} = \mathbf{v} * M$ , for some  $M \in EO(A, q_{2n+1})$  and (2) follows from Theorem 4.4. However, when  $A$  is regular and contains an infinite perfect field, we have to use Popescu's theorem. By definition,  $\zeta(I(\mathbf{v}, \omega_{\mathbf{v}})) = [\mathbf{0}]$  implies that there is a chain homotopy from  $\mathbf{v}$  to  $\mathbf{0}$ . This data can also be encapsulated in a finitely generated algebra  $A'$  over  $k$ . As in the proof of (5.1) there is a diagram

$$\begin{array}{ccccc}
 k & \longrightarrow & A' & \xrightarrow{\iota} & B \\
 & & & \searrow & \downarrow \\
 & & & & A
 \end{array}
 \quad \text{of homomorphisms}$$

such that  $B$  is smooth over  $k$ . The homotopy relations are carried over to  $B$ . Therefore, by replacing  $A$  by  $B$ , we can assume that  $A$  is essentially smooth over  $k$ . So, Theorem 5.2 applies and (2) follows as in the previous case.

So, it is established that (1)  $\iff$  (2)  $\iff$  (3). It is clear that (4)  $\implies$  (2). Now suppose, one of the first three conditions hold. Fix  $r \geq 2$ . Notice  $I(\mathbf{v}) = (a_1, \dots, a_n, s^r)A$ .

So, replacement of  $s$  by  $s^r$  leads to the same obstruction class in  $\pi_0(Q_{2n})(A)$ , which is  $= [\mathbf{0}] \in \pi_0(Q_{2n})(A)$ . Since (1)  $\iff$  (2), it follows  $I(\mathbf{v})$  has  $2r$ -lifting property and hence the  $r$ -lifting property. The proof is complete.  $\blacksquare$

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