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## On efficient generation of ideals

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### 0. Introduction

In this paper we shall discuss the question that if  $R$  is a commutative noetherian ring and  $I$  is an ideal of  $R$ , then whether  $I$  is generated by  $\mu(I/I^2)$  elements ( $\mu$  denotes minimal number of generators). In general it is known that  $\mu(I/I^2) \leq \mu(I) \leq \mu(I/I^2) + 1$  ([5], Lemma).

The Main result (Theorem 1.2) here is that if  $R = A[X]$  is a polynomial ring over a noetherian commutative ring and  $I$  is an ideal of  $R$  which contains a monic polynomial and if  $\mu(I/I^2) \geq \dim(R/I) + 2$  then  $I$  is actually generated by  $\mu(I/I^2)$  elements. This result is an improvement of a result of Mohan Kumar ([5], page 161, Satz 5.18), which says that under the same conditions  $I$  is a quotient of a projective module of rank  $\mu(I/I^2)$ .

The final result in this paper is Theorem 2.2. The theorem says that if  $R = A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_r^{\pm 1}]$ ,  $n$  and  $r \geq 0$  is a Laurent polynomial ring, with  $n$  polynomial variables and  $r$  Laurent polynomial variables, over a noetherian commutative ring  $A$  and if  $I$  is an ideal of  $R$  with  $\mu(I/I^2) \geq \dim(R/I) + 2$  and height  $(I) > \dim A$ , then  $I$  is generated by  $\mu(I/I^2)$  elements.

When  $R$  is a polynomial ring over a field then this theorem is due to Mohan Kumar ([6], 4, Theorem 5). In the polynomial case i.e. when  $r=0$  Theorem 2.2 is stated as Corollary 1.5.

In [4] we have proved Theorem 2.2 for  $r > 0$  ([4], Chapter III, Theorem 2.3). For  $r=0$ , the content of Corollary 1.5 was raised as a question ([4], Chapter III, Remark 2.6). S.M. Bhatwadekar and R.A. Rao ([2]) proved Corollary 1.5 in the case when  $A$  is affine domain.

Before we conclude this section we shall recall some standard notations.

Throughout this paper  $R$  and  $A$  will denote commutative noetherian rings with finite Krull dimension. By  $\dim A$  we shall mean the Krull dimension of  $A$ . For an  $R$ -module  $M$ ,  $\mu(M)$  will denote the minimal number of generators of  $M$  as an  $R$ -module.

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$R = A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_r^{\pm 1}]$ ,  $n$  and  $r \geq 0$  will be the Laurent polynomial ring over  $A$ , with  $n$  polynomial variables  $X_1, \dots, X_n$  and  $r$  Laurent polynomial variables  $T_1, \dots, T_r$ .

### 1. The main result

In this section we shall prove our main result (Theorem 1.2). Before we go in to our main discussion we introduce the following definitions.

**Definition 1.1.** A monic polynomial  $f$  in  $A[X]$  is said to be a *special monic polynomial* if the constant term of  $f$  is equal to one. A Laurent polynomial  $f$  in  $A[X, X^{-1}]$  is said to be *doubly monic Laurent polynomial* if both the coefficients of the highest degree term and the lowest degree term in  $f$  are equal to one.

So, a special monic polynomial is a doubly monic Laurent polynomial.

**Theorem 1.2.** Let  $R = A[X]$  be a polynomial ring over a commutative noetherian ring  $A$  and  $I$  an ideal of  $R$ . Suppose  $I$  contains a monic polynomial and  $\mu(I/I^2) \geq \dim(R/I) + 2$ . Then  $\mu(I) = \mu(I/I^2)$ .

In the proof of Theorem 1.2 we shall actually extend the ideal  $I$  to the Laurent polynomial extension  $R[T, T^{-1}] = A[X, T, T^{-1}]$  and prove that  $IR[T, T^{-1}]$  is generated by  $\mu(I/I^2)$  elements. Following remark introduces a change of variable in  $A[X, T, T^{-1}]$ , which will be used in the proof of the theorem.

*Remark 1.3.* Let  $R = A[X]$  be as in Theorem 1.2 and  $R[T, T^{-1}] = A[X, T, T^{-1}]$  be its Laurent polynomial extension. Define an  $A$ -automorphism  $\theta$  of  $R[T, T^{-1}]$  as follows,

$$\begin{aligned}\theta(X) &= X + T + T^{-1} \\ \theta(T) &= T.\end{aligned}$$

We observe that if  $f$  is a monic polynomial in  $R$  then  $\theta(f)$  is doubly monic Laurent polynomial in  $T$ .

We state another lemma before we give the proof of the Theorem 1.2. This lemma is a slight variation of Mohan Kumar's lemma ([6], 3, Lemma 3) on prime avoidance and the proof is also similar.

**Lemma 1.4.** Let  $A$  be a commutative noetherian ring and  $I, J$  be ideals of  $A$ ,  $I$  containing  $J$ . Let  $n = \mu(I/I^2)$ . Assume that  $a_1, \dots, a_r$ ;  $r < n$  are elements of  $I$ . Further suppose,

- (i)  $a_1, a_2, \dots, a_r$  form a part of a minimal set of generators of  $I \bmod I^2$ .
- (ii) Whenever  $P$  is a prime ideal of  $A$  which contains  $(a_1A + \dots + a_rA) + J$  and does not contain  $I$ , the image of  $P$  in  $A/(a_1A + J)$  has height at least  $d$ , for some fixed integer  $d$ .

Then we can find  $a_{r+1}$  in  $I$  such that,

- (i)  $a_1, \dots, a_{r+1}$  form a part of minimal set of generators of  $I \bmod I^2$ .

(ii) Whenever  $P$  is a prime ideal of  $A$ , which contains  $(a_1A + \dots + a_{r+1}A) + JA$  and does not contain  $I$ , the image of  $P$  in  $A/(a_1A + J)$  has height atleast  $d+1$ .

*Proof of Theorem 1.2.* Suppose  $a_1$  belongs to a minimal set of generators of  $I \bmod I^2$ . Since  $I$  contains a monic polynomial  $f$ , replacing  $a_1$  by  $a_1 + f^p$  for large enough  $p$ , we can assume  $a_1$  is monic.

Write  $J = A \cap I$ . Then  $A/J \rightarrow R/I$  and  $A/J \rightarrow R/(J, a_1)R$  are integral extensions. So we have  $\dim(A[X]/I) = \dim(A/J) = \dim(R/(J, a_1)R)$ .

Write  $B = R/(J, a_1)R$ . By Lemma 1.4 we can choose  $a_2$  in  $I$  such that,

(i)  $a_1, a_2$  form a part of minimal set of generators of  $I \bmod I^2$ .

(ii) If a prime ideal  $P$  of  $R$  contains  $a_1R + a_2R + JR$  and does not contain  $I$ , then image of  $P$  in  $B$  has height atleast one.

If we write  $n = \mu(I/I^2)$ , then by iterating the above process we can find  $a_1, \dots, a_n$  in  $I$  with  $a_1$  monic and such that,

(i)  $a_1, \dots, a_n$  form a minimal set of generators of  $I \bmod I^2$ .

(ii) Whenever  $P$  is a prime ideal of  $R$  which contains  $(a_1R + \dots + a_nR) + JR$  and does not contain  $I$ , the image of  $P$  in  $B$  has height atleast  $n-1$ .

Since  $n \geq \dim(R/I) + 2 = \dim B + 2$ , by (ii) we have,

(iii) For a prime ideal  $P$  of  $R$ , if  $P$  contains  $(a_1R + \dots + a_nR) + JR$  then  $P$  also contains  $I$ .

Let  $R' = A[X, T, T^{-1}] = R[T, T^{-1}]$  be the Laurent polynomial extension of  $R$  and  $\theta$  be the  $A$ -automorphism defined in Remark 1.3. Since substitution  $T=1$  gives a retraction of  $R'$  to  $R$ , it is enough to prove  $IR'$  is generated by  $n$  elements. Instead, we shall prove that  $\theta(IR')$  is generated by  $n$  elements.

We shall write  $I_1 = \theta(IR')$ ,  $I' = I_1 \cap R[T]$  and  $J' = I_1 \cap R = I' \cap R$ .

As  $\theta(J) = J$  is contained in  $J'$ , it follows from (i) and (iii) that,

(iv)  $\theta(a_1), \dots, \theta(a_n)$  generate  $I_1 \bmod I_1^2$ .

(v) For a prime ideal  $P$  in  $\text{Spec}(R')$ , if  $P$  contains

$$(\theta(a_1)R' + \dots + \theta(a_n)R') + J'R'$$

then  $P$  also contains  $I_1$ .

For  $i=1$  to  $n$  if we write  $f_i = T^{r_i} \theta(a_i)$ , for some suitable  $r_i$ , then we can assume that  $f_1$  is special monic in  $R[T]$  (because  $\theta(a_1)$  is doubly monic) and  $f_2, \dots, f_n$  belongs to  $TA[X, T] = TR[T]$ . Since  $T$  is a unit in  $R'$ , we can replace  $f_1, \dots, f_n$  in condition (iv) and (v).

As prime ideals in  $\text{Spec}(R[T])$  which contains  $T$  can not contain  $f_1$ , it follows immediately from (iv) and (v) that

(i')  $f_1, \dots, f_n$  generate  $I' \bmod I'^2$ .

(ii') For a prime ideal  $P$  in  $\text{Spec}(R[T])$ , if  $P$  contains

$$(f_1R[T] + \dots + f_nR[T]) + J'R[T]$$

then  $P$  also contains  $I'$ .

(iii')  $f_1$  is special monic in  $T$  and  $f_2, \dots, f_n$  belongs to  $TR[T]$ .

We are going to prove that  $I'$  is generated by  $n$  elements in  $R[T]$

Consider the multiplicative set  $1+J'$  in  $R$ . Since  $J'$  is in the radical of  $R_{1+J'}$  and  $R_{1+J'}[T]/f_1$  is integral extension of  $R_{1+J'}$ , we have  $J'$  is also contained in the radical of  $R_{1+J'}[T]/f_1$ . In view of (ii) a maximal ideal of  $R_{1+J'}[T]/f_1$  which contains images of  $f_2, \dots, f_n$ , will also contain  $I''$ , the image of  $I'$  in  $R_{1+J'}[T]/f_1$ . And thus by (i') for a maximal ideal  $M$  of  $R_{1+J'}[T]/f_1$ , which contains the image of  $f_2, \dots, f_n$ , we have  $I''_M$  is generated by the images of  $f_2, \dots, f_n$  and hence  $I''$  is generated by these elements. So it follows

$$I'_{1+J'} = f_1 R_{1+J'}[T] + \dots + f_n R_{1+J'}[T].$$

Thus

$$I'_{1+s} = f_1 R_{1+s}[T] + \dots + f_n R_{1+s}[T],$$

for some  $s$  in  $J'$ .

We shall assume that  $s$  is not nilpotent (otherwise  $I'$  is generated by  $n$  elements).

As a consequence the following sequence

$$0 \rightarrow K \rightarrow R_{1+s}[T]^n \xrightarrow{(f_i)} I'_{1+s} \rightarrow 0$$

is exact, where  $K$  is the kernel of the obvious surjection defined by  $f_1, \dots, f_n$ . As  $s$  belongs to  $I'$ ,  $K_s$  is projective and since  $f_1$  is monic polynomial, by Quillen-Suslin Theorem ([8], Theorem 3/[9], Theorem 1)  $K_s$  is free of rank  $n-1$ .

Since  $I'_s = R_s[T]$  we have an exact sequence over  $R_s[T]$

$$0 \rightarrow K' \rightarrow R_s[T]^n \xrightarrow{(1, 0, \dots, 0)} I'_s \rightarrow 0$$

where the surjection is the obvious map defined by  $1, 0, \dots, 0$  and  $K'$  is the kernel of the surjection which is free.

Let us denote "mod  $T$ " by "bar". Now as  $f_1(0)=1, f_2(0)=0, f_n(0)=0$ , there is an isomorphism  $g: \bar{K}_s \rightarrow \bar{K}'_{1+s}$  such that the following diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & \bar{K}_s & \longrightarrow & R_{s(1+s)}^n & \xrightarrow{(\bar{f}_i)} & \bar{I}_{s(1+s)} \rightarrow 0 \\ & & \downarrow g & & \parallel & & \parallel \\ 0 & \rightarrow & \bar{K}'_{1+s} & \longrightarrow & R_{s(1+s)}^n & \xrightarrow{(1, 0, \dots, 0)} & \bar{I}'_{s(1+s)} \rightarrow 0 \end{array}$$

is commutative, where the last and the middle vertical maps are identity.

Since  $K_s$  and  $K'_{1+s}$  are extended (in fact free), there are isomorphisms

$$\beta: K_s \rightarrow \bar{K}_s \otimes R_{s(1+s)}[T] \quad \text{and} \quad \lambda: K'_{1+s} \rightarrow \bar{K}'_{1+s} \otimes R_{s(1+s)}[T]$$

which are identity modulo  $T$ . If we write  $h = \lambda^{-1} \circ (g \otimes \text{Id}) \circ \beta$ , then  $h: K_s \rightarrow K'_{1+s}$  is an isomorphism. Also  $\bar{h} = \bar{\lambda}^{-1} \circ g \circ \bar{\beta} = g$ . Hence we have an isomorphism  $h: K_s \rightarrow K'_{1+s}$  such that  $\bar{h} = g$ .

Using splittings of the surjections

$$R_{s(1+s)}[T]^n \rightarrow I'_{s(1+s)} \rightarrow 0 \quad \text{and} \quad R_{s(1+s)}[T]^n \rightarrow I'_{s(1+s)} \rightarrow 0$$

which are equal “modulo  $T$ ”, we can define an isomorphism

$$H: R_{s(1+s)}[T]^n \rightarrow R_{s(1+s)}[T]^n$$

such that  $H \equiv \text{Id} \pmod{T}$  and the following diagram

$$\begin{array}{ccccccc} 0 \rightarrow & K_s & \longrightarrow & R_{s(1+s)}[T]^n & \xrightarrow{(f_i)} & I'_{s(1+s)} & \rightarrow 0 \\ & \downarrow h & & \downarrow H & & \parallel \text{Id} & \\ 0 \rightarrow & K'_{1+s} & \longrightarrow & R_{s(1+s)}[T]^n & \xrightarrow{(1, 0, \dots, 0)} & I'_{s(1+s)} & \rightarrow 0 \end{array}$$

is commutative.

As  $R_s + R(1+s) = R$ , we can construct the following fibre product diagram,

$$\begin{array}{ccccc} Q & \xrightarrow{\quad} & R_s[T]^n & & \\ \downarrow & \searrow & \downarrow & \searrow & \\ I' & \xrightarrow{\quad} & I'_s & & \\ \downarrow & \searrow & \downarrow & \searrow & \\ R_{1+s}[T]^n & \xrightarrow{\quad} & R_{s(1+s)}[T]^n & \xrightarrow{H} & R_{s(1+s)}[T]^n \\ \downarrow & \searrow & \downarrow & \searrow & \downarrow \\ I'_{1+s} & \xrightarrow{\quad} & I'_{s(1+s)} & \xlongequal{\quad} & I'_{s(1+s)} \\ \downarrow & \searrow & \downarrow & \searrow & \downarrow \\ 0 & & 0 & & 0 \end{array}$$

In this diagram  $Q$  is the fibre product of  $R_s[T]^n$  and  $R_{1+s}[T]^n$  given by the maps

$$R_s[T]^n \rightarrow R_{s(1+s)}[T]^n \quad \text{and} \\ R_{1+s}[T]^n \rightarrow R_{s(1+s)}[T]^n \rightarrow R_{s(1+s)}[T]^n.$$

The map  $Q \rightarrow I' \rightarrow 0$  is got by the property of fibre product.

If  $g': Q_s \xrightarrow{\sim} R_s[T]^n$  and  $g'': Q_{1+s} \xrightarrow{\sim} R_{1+s}[T]^n$  are the obvious isomorphisms, then  $(g')_{1+s} \circ (g'')^{-1}_s = H = \text{Id} \pmod{T}$ . Hence by ([7], Sect. II, Lemma 2)  $Q$  is free of rank  $n$ .

Since upper right hand and lower left hand sequences in the diagram are exact, we see that  $Q \rightarrow I' \rightarrow 0$  is exact. Thus  $I'$  is generated by  $n$  elements and hence  $\theta(IR') = I_1 = I'_T$  is generated by  $n$  elements. This completes the proof of Theorem 1.2 as indicated before.

**Corollary 1.5.** *Suppose  $R = A[X_1, \dots, X_n]$  is a polynomial ring in  $n$  variables over a commutative noetherian ring  $A$ . If  $I$  is an ideal in  $R$  with*

$$\text{height}(I) > \dim A \quad \text{and} \quad \mu(I/I^2) \geq \dim(R/I) + 2 \quad \text{then} \quad \mu(I) = \mu(I/I^2).$$

*Proof.* As  $\text{height}(I) > \dim A$ , by a change of variables we can assume  $I$  contains a monic polynomial and hence the corollary follows immediately from Theorem 1.2.

Corollary 1.5 settles our question ([4], Chapter III, Remark 2.6) affirmatively. This result was proved by S.M. Bhatwadekar and R.A. Rao ([2], Theorem 1) when  $A$  is affine domain.

## 2. In Laurent polynomial rings

Main result in this section is Theorem 2.2. This theorem is a consequence of Theorem 2.1, which is the Laurent polynomial analogue of Theorem 1.2.

Recall that a Laurent polynomial  $f$  in  $A[T, T^{-1}]$  is called a doubly monic Laurent polynomial if both the coefficients of the highest degree term and the lowest degree term in  $f$  are equal to one.

**Theorem 2.1.** *Let  $R = A[T, T^{-1}]$  be a Laurent polynomial ring over a commutative noetherian ring  $A$  in one variable  $T$ . Suppose  $I$  is an ideal of  $R$ , which contains a doubly monic Laurent polynomial. If  $\mu(I/I^2) \geq \dim(R/I) + 2$  then  $\mu(I) = \mu(I/I^2)$ .*

*Proof.* Write  $I' = I \cap A[T]$  and  $J = A \cap I$ . Since  $I$  contains a doubly monic Laurent polynomial,  $I'$  contains special monic.

Suppose  $a_1, \dots, a_n$  form a minimal set of generators of  $I \pmod{I^2}$ , where  $n = \mu(I/I^2)$ . We can assume  $a_1, \dots, a_n$  belongs to  $I'$  and with the help of a special monic in  $I'$  we can further assume  $a_1$  is a special monic polynomial. We shall see that  $a_1, \dots, a_n$  generates  $I' \pmod{I'^2}$ . It is enough to see that for every prime ideal  $P$  of  $A[T]$ ,  $(I'/I'^2)_P$  is generated by these elements. If  $T$  belongs to  $P$  then  $a_1$  does not belong to  $P$  and hence  $(I'/I'^2)_P = 0$ . If  $T$  does not belong to  $P$ , then  $(I')_P = (I)_{P_T}$  and hence  $(I'/I'^2)_P$  is generated by  $a_1, \dots, a_n$ . Hence it follows that  $\mu(I/I^2) = \mu(I'/I'^2)$ .

Now as both  $R/I$  and  $A[T]/I'$  are integral extensions of  $A/J$ , we have

$$\dim(R/I) = \dim(A/J) = \dim(A[T]/I').$$

Thus

$$\mu(I'/I'^2) = \mu(I/I^2) \geq \dim(R/I) + 2 = \dim(A[T]/I') + 2.$$

Therefore by an application of Theorem 1.2 we get

$$\mu(I) = \mu(I'/I'^2) = \mu(I/I^2).$$

Hence  $\mu(I) \leq \mu(I/I^2)$ . Thus the proof is complete.

**Theorem 2.2.** *Let  $R = A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_r^{\pm 1}]$  with  $n, r \geq 0$  be a Laurent polynomial ring in several variables over a commutative noetherian ring  $A$ . Suppose  $I$  is an ideal of  $R$  with  $\text{height}(I) > \dim A$  and  $\mu(I/I^2) \geq \dim(R/I) + 2$ . Then  $\mu(I) = \mu(I/I^2)$ .*

*Proof.* For  $r=0$  it is Corollary 1.5. If  $r \geq 1$  then it is a immediate consequence of Theorem 2.1 and the following lemma.

**Lemma 2.3.** *Let  $R = A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_r^{\pm 1}]$  with  $n \geq 0$  and  $r \geq 1$  be a Laurent polynomial ring in several variables over a commutative noetherian ring  $A$ . Given any ideal  $I$  of  $R$  with  $\text{height}(I) > \dim A$ , there is an  $A$ -automorphism  $\theta: R \rightarrow R$  such that,  $\theta(I)$  contains a doubly monic Laurent polynomial in  $T_1$ .*

For  $n=0$  this is a result of Suslin ([10], 7, Lemma 7.1). In [4] we have given an example ([4], Chapter III, Remark 2.5) to show that such a Lemma is not available for polynomial rings i.e. if  $I$  is an ideal of  $R = A[X_1, \dots, X_n]$  with  $\text{height}(I) > \dim A$ , then  $I$  need not contain a special monic via any change of variables.

With this we conclude this section and the proof of Lemma 2.3 will be given in the next Sect. (3).

### 3. The proof of Lemma 2.3

First we shall set up some notations.

If  $R = A[T]$  (resp.  $A[T, T^{-1}]$ ) is a polynomial ring (resp. Laurent polynomial ring) in one variable  $T$  over a commutative ring  $A$  and  $I$  is an ideal of  $R$  then  $L_T(I)$  denotes the ideal of  $A$ , consisting of coefficients of the highest degree term in  $T$  of elements in  $I$ . Similarly for an ideal  $I$  of  $R = A[T, T^{-1}]$ ,  $L_{T^{-1}}(I)$  will denote the ideal of  $A$ , consisting of coefficients of the lowest degree term in  $T$  of the elements of  $I$ . In the case of Laurent polynomial rings  $R = A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_r^{\pm 1}]$  in several variables, when we write  $L_{X_1}(I)$ ,  $L_{T_1}(I)$  or  $L_{T^{-1}}(I)$ , we mean  $R$  is considered as a polynomial or a Laurent polynomial ring over the rest of the variables and the notations are used in the above sense.

There is a well known result ([1], 4, Lemma 2) which says that if  $R = A[T]$  is a polynomial ring and  $I$  an ideal of  $R$ , then  $\text{height}(L_T(I)) \geq \text{height}(I)$ . The following is a easy consequence of this.



**Lemma 3.1.** *Let  $R=A[T, T^{-1}]$  be a Laurent polynomial ring over a commutative noetherian ring  $A$  and  $I$  an ideal of  $R$ . Then  $\text{height}(L_T(I)) \geq \text{height}(I)$  and  $\text{height}(L_{T^{-1}}(I)) \geq \text{height}(I)$ .*

*Proof.* It is enough to prove one of the inequalities. We prove the first one. Write  $J=I \cap A[T]$ . Then  $\text{height}(J)=\text{height}(I)$  and  $L_T(J)=L_T(I)$ . Hence  $\text{height}(L_T(I)) \geq \text{height}(I)$  by ([1], 4, Lemma 2).

Now we are ready to prove Lemma 2.3.

*Proof of Lemma 2.3.* The proof is by induction in two stages. First we prove the Lemma for  $r=1$  by induction on  $n$  and then use induction on  $r$  to complete the proof.

*Proof of the Lemma when  $r=1$ ,* i.e.  $R=A[X_1, \dots, X_n, T, T^{-1}]$ . If  $n=0$  then  $R=A[T, T^{-1}]$  and in view of Lemma 3.1 we have  $L_T(I)=L_{T^{-1}}(I)=A$ . So we see that  $I$  contains an element  $f$  which is monic in  $T$  and an element  $g$  which is monic in  $T^{-1}$ . We can combine  $f$  and  $g$  suitably to get a doubly monic Laurent polynomial in  $I$ .

Assume now  $r=1$  and  $n>0$ . We are going to use induction on  $n$  to complete the proof in this case. We have  $R=A[X_1, \dots, X_n, T, T^{-1}]$ . Consider the ideal  $L_{X_n}(I)$ . We see that  $\text{height}(L_{X_n}(I)) \geq \text{height}(I) > \dim A$ . Hence by induction hypothesis we may assume (via an  $A$ -automorphism of  $A[X_1, \dots, X_n, T, T^{-1}]$ ) that  $L_{X_n}(I)$  contains a doubly monic Laurent polynomial  $f$  in  $T$ . In fact we may assume  $f=T^p+g_1T^{p-1}+\dots+g_{p-1}T+1$  for some  $p \geq 1$  and  $g_i$  in  $A[X_1, \dots, X_n]$ ,  $i=1$  to  $p-1$ . Let  $F(X_n)$  be an element in  $I$  with  $f$  as the coefficient of its highest degree term. Therefore  $F(X_n)=fX_n^q+f_1X_n^{q-1}+\dots+f_q$  for some  $q \geq 1$  and  $f_j$  in  $A[X_1, \dots, X_{n-1}, T, T^{-1}]$ , for  $j=1$  to  $q$ . Let  $s > T$ -degree and  $T^{-1}$ -degree of  $f_j$  for  $j=1$  to  $q$ . Define  $\theta: R \rightarrow R$  to be the  $A$ -automorphism given by  $\theta(X_i)=X_i$  for  $1 \leq i \leq n-1$ ,  $\theta(X_n)=X_n+T^s+T^{-s}$  and  $\theta(T)=T$ . Then  $\theta(F(X_n))$  is a doubly monic Laurent polynomial. This completes the proof of the Lemma for  $r=1$  and arbitrary  $n \geq 0$ .

*Proof of the lemma in the general case.* Since we have proved the Lemma when  $r=1$  and  $n \geq 0$  arbitrary, here we shall apply induction on  $r$  to complete the proof.

Assume  $r > 1$  and  $n \geq 0$ .

So we have  $R=A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_r^{\pm 1}]$ . Look at the ideals  $L_{T_r}(I)$  and  $L_{T_r^{-1}}(I)$  of  $A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_{r-1}^{\pm 1}]$ . Since  $\text{height}(I) > \dim A$ , by Lemma 3.1 both  $L_{T_r}(I)$  and  $L_{T_r^{-1}}(I)$  have heights strictly greater than  $\dim A$  and hence  $\text{height}(L_{T_r}(I) \cap L_{T_r^{-1}}(I)) > \dim A$ . By induction hypothesis (via an  $A$ -automorphism of  $A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_{r-1}^{\pm 1}]$ ),  $L_{T_r}(I) \cap L_{T_r^{-1}}(I)$  contains a Laurent polynomial  $f$  which is doubly monic in  $T_1$ . We may write  $f=T_1^p+g_1T_1^{p-1}+\dots+g_{p-1}T_1+1$  for some  $p \geq 1$  and  $g_i$  in  $A[X_1, \dots, X_n, T_2^{\pm 1}, \dots, T_{r-1}^{\pm 1}]$ . So we can find  $F$  and  $G$  in  $I$  such that  $F(T_r)=fT_r^q+f_1T_r^{q-1}+\dots+f_{q-1}T_r+f_q$  and  $G(T_r)=f+h_1T_r+\dots+h_uT_r^u$  for some integers  $q, u \geq 0$  and  $f_i, h_j$  in  $A[X_1, \dots, X_n, T_1^{\pm 1}, \dots, T_{r-1}^{\pm 1}]$  for  $i=1$  to  $q$  and  $j=1$  to  $u$ .

Let  $s > T_1$ -degree of  $f_i$  and  $T_1^{-1}$ -degree of  $h_j$ , for  $i=1$  to  $q$  and  $j=1$  to  $u$ . Define an  $A$ -automorphism  $\theta: R \rightarrow R$  as follows,

$$\begin{aligned}\theta(X_i) &= X_i && \text{for } 1 \leq i \leq n \\ \theta(T_i) &= T_i && \text{for } 1 \leq i \leq r-1 \\ \theta(T_r) &= T_r T_1^s && \text{for } i=r.\end{aligned}$$

Then  $T_r^{-q}\theta(F)$  is monic in  $T_1$  and  $\theta(G)$  is monic in  $T_1^{-1}$  over the rest of the variables. Hence a suitable linear combination  $H$  of  $T_r^{-q}\theta(F)$  and  $\theta(G)$  can be found which is doubly monic in  $T_1$ . As  $H$  is an element of  $\theta(I)$ , the proof of Lemma 2.3 is complete.

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## Note added in proof

R.A. Rao has shown how Remark 1.3 can be used to prove that if  $(f_1, \dots, f_n)$  is a unimodular row in a polynomial ring  $A[X]$  with  $f_1$  a monic polynomial and  $n \geq 3$  then there is an elementary matrix  $u$  which takes  $(f_1, \dots, f_n)$  to  $(1, 0, \dots, 0)$ .

